

1 **Can the Common Fisheries Policy achieve Good Environmental Status in exploited**
2 **ecosystems: the west of Scotland demersal fisheries example**

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20

21 **Abstract**

22

23 The latest reform of the Common Fisheries Policy (CFP) which regulates the exploitation of
24 fish stocks in European waters entails a move from the traditional single stock management
25 towards Ecosystem Based Fisheries Management (EBFM). Meanwhile the Marine Strategy
26 Framework Directive dictates that Good Environmental Status (GES) should be achieved in
27 European waters by 2020. Here we apply an EBFM approach to the west of Scotland demersal
28 fisheries which are currently facing several management issues: depleted stocks of cod (*Gadus*
29 *morhua*) and whiting (*Merlangius merlangus*), increased predation from grey seals
30 (*Halichoerus grypus*), and large bycatch of juvenile whiting by crustacean fisheries. A food
31 web ecosystem model was employed to simulate the outcomes of applying the traditional single
32 stock fishing mortalities (F), and management scenarios which explored F ranges in accord
33 with the CFP recommendation. Ecosystem indicators were calculated to assess the performance
34 of these scenarios towards achieving GES. Our results highlight the importance of considering
35 prey-predator interactions, in particular the impact of the top predators, cod and saithe
36 (*Pollachius virens*), on juvenile cod and whiting. The traditional single stock approach would
37 likely recover cod, but not whiting. Exploring the F ranges revealed that a drastic reduction of
38 juvenile whiting bycatch is necessary for the whiting stock to recover. Predation from grey
39 seals had little impact overall, but did affect the timing of cod and whiting recovery. With the
40 exception of whiting, little difference was observed between the single stock scenario, and the
41 best scenario identified towards achieving GES. The findings advocate for the use of ecosystem
42 modelling alongside the traditional, single stock assessment model used for tactical decision
43 making in order to inform management.

44

45 **Keywords:** Common Fisheries Policy; Ecosystem Based Fisheries Management; ecosystem
46 modelling; Ecopath with Ecosim; Good Environmental Status

47

48 **1. Introduction**

49

50 The exploitation of fish stocks in European waters is regulated by the Common Fisheries Policy
51 (CFP). Since its creation in the 1970s this long-standing policy has been through several
52 reforms, the latest of which took effect on January 1st 2014 (EC, 2013). This latest reform
53 proposes a new framework to manage European fisheries, and amongst several new initiatives,
54 it highlights a need to move from traditional single-stock management towards an ecosystem
55 approach to fisheries (EAF) (Prellezo and Curtin, 2015). EAF originated from the principle of
56 sustainable development and aims at both human and ecosystem well-being (Garcia et al.,
57 2003). The implementation of EAF can vary between an Ecosystem Approach to Fisheries
58 Management (EAFM) in which ecosystem aspects are given consideration when taking
59 management decisions, to Ecosystem-Based Fisheries Management (EBFM) in which
60 ecosystem health becomes a management goal included in trade-offs when pursuing competing
61 management objectives (Patrick and Link, 2015). Most importantly, EBFM prioritises the
62 wellbeing of ecosystems over economic and social objectives since wellbeing is considered a
63 prerequisite for the last two objectives (Murawski et al., 2008).

64

65 While the new CFP advocates for the implementation of EBFM, it remains largely unclear how
66 to include conservation objectives within management measures in practice (Prellezo and
67 Curtin, 2015). The CFP currently aims to fish at levels consistent with achieving Maximum
68 Sustainable Yield (MSY) for all exploited stocks (EC, 2011). In northern European waters,
69 these fishing levels are proposed by the International Council for the Exploration of the Sea
70 (ICES) which delivers annual scientific advice for the management of northern European fish
71 stocks. This advice provides biological reference points for each stock, including the level of
72 fishing mortality (F) needed to achieve MSY (F_{MSY}). F_{MSY} is defined on a single-stock

73 approach, meaning that it is calculated individually for a stock based on its own status only,
74 regardless of the status of other stocks. However, this contradicts EBFM (Prellezo and Curtin,
75 2015), where the interactions between species should be taken into account when defining safe
76 harvest levels for fish stocks. In fact, while F_{MSY} has long been considered a desirable
77 exploitation level for single stocks (Schaefer, 1954), its performance in mixed fisheries, where
78 several stocks are caught simultaneously by the same fleet, has been challenged (Walters et al.,
79 2005), largely due to the fact that it is virtually impossible to apply F_{MSY} simultaneously to all
80 stocks in mixed fisheries (Kumar et al., 2017; Larkin, 1977). Nevertheless, despite this
81 criticism recent empirical studies have shown that the current MSY approach has succeeded in
82 leading European fish stocks towards recovery (Cardinale et al., 2013; Fernandes and Cook,
83 2013). This suggests that the traditional single stock F_{MSY} values for European stocks may not
84 be too far off the harvest levels needed to achieve sustainable mixed fisheries, potentially
85 facilitating the transition towards EBFM. For example, Froese et al. (2008) have shown that
86 EBFM can be achieved by improving existing single-stock management.

87

88 In addition to the traditional advice and corresponding single stock F_{MSY} values, ICES now
89 also provides F_{MSY} ranges for most stocks in European waters, which consist of upper (F_{MSY}
90 _{upper}) and lower (F_{MSY} _{lower}) F boundaries around F_{MSY} within which fishing mortality is deemed
91 sustainable (ICES, 2016a, 2015). These ranges are a recent addition to the ICES advice and
92 were requested by the European Commission in order to develop long-term management plans
93 with quantifiable targets. F_{MSY} ranges should be precautionary and also ensure that they deliver
94 no more than a 5% reduction in long-term yield. Whilst they do not originate from a proper
95 multispecies approach such as the one used by the mixed fisheries advice (ICES, 2017), the
96 F_{MSY} ranges do provide some leeway around the single stock F_{MSY} values which are usually
97 difficult to apply simultaneously to all stocks. In mixed fisheries, the Total Allowable Catch

98 (TAC) derived from F_{MSY} for the least abundant stock is most likely to be reached before the
99 TACs of more abundant stocks are exhausted. Such a situation typically leads to over-quota
100 discarding, a practice no longer allowed as the landings obligation is phased in for European
101 fisheries (EC, 2015a). As a result, it has been proposed that in mixed fisheries the most
102 vulnerable stock with the lowest F_{MSY} should determine the limit of exploitation for all other
103 stocks caught in the same fishery (EC, 2011). However, such an approach is likely to result in
104 a ‘choke species’ scenario leading to the under-exploitation of other stocks and ultimately
105 jeopardising the fishery (Baudron and Fernandes, 2015).

106

107 Another regulation of European waters is the Marine Strategy Framework Directive adopted
108 in 2008 (EC, 2008) which states that all member states should reach Good Environmental
109 Status (GES) by 2020 (EC, 2009). Although achieving GES differs from achieving EBFM,
110 GES measures the performance towards most of the biological and environmental attributes of
111 EBFM (Ramírez-Monsalve et al., 2016). GES is defined by 11 descriptors. Descriptors 1
112 (biodiversity), 3 (commercial species), and 4 (food webs) directly relate to fisheries and are
113 therefore particularly relevant for EBFM. In order to integrate these GES descriptors into an
114 EBFM framework, indicators are needed to inform whether GES criteria are met for each
115 descriptor. Developing meaningful ecosystem indicators can be challenging due to a lack of
116 relevant data. However, ecosystem indicators for descriptors 1, 3 and 4 can be derived from
117 biomass and/or catch data which are available for most species in ecosystems found in EU
118 waters (Coll et al., 2016; Gascuel et al., 2016; Kleisner et al., 2015; Reed et al., 2017). In
119 addition, the information a single ecosystem indicator can provide is limited: it is therefore
120 preferable to use a portfolio of indicators to fully assess each descriptor (Samhuri et al., 2009).
121 Lastly, GES indicators also need to be informative. Ideally, what constitutes GES should be
122 defined for each indicator in order to assess whether an ecosystem has reached GES or not

123 based on indicator values. For example, Link (2005) proposed reference points for some
124 ecosystem indicators, in which case the examination of indicators' trends relative to the
125 reference point values can then be used as a basis for management recommendations (Jennings
126 and Rice, 2011). However, not all ecosystem indicators have clearly defined reference points,
127 and these reference points are not transferable across ecosystems with different characteristics
128 (Heymans et al., 2014).

129

130 EBFM can benefit from ecosystem modelling in order to explore policy options where
131 management objectives (e.g. diversity, abundance of non-target species, etc.) involve multiple
132 species and their trophic interactions which cannot be assessed with single-species models
133 (Christensen and Walters, 2005). Plagányi (2007) reviewed available ecosystem models
134 spanning a wide range of complexity levels from minimum realistic models to whole ecosystem
135 ones. This latter category includes Ecopath with Ecosim (EwE), a food web ecosystem model
136 (Christensen and Walters, 2004). EwE is the most applied tool for modelling marine
137 ecosystems (Colléter et al., 2015) and can be used to investigate marine policy issues such as
138 GES (Piroddi et al., 2015). However, it is crucial to demonstrate that a model can replicate
139 historical trends in ecosystems in order to make plausible predictions in response to novel
140 situations before any management decision can be based upon it (Christensen and Walters,
141 2005). Of the vast number of EwE models that have been published, only a few have been
142 calibrated using historical time series of data and even fewer have been employed for
143 management purposes (Heymans et al., 2016). One EwE model fulfilling these two criteria was
144 recently published for the west of Scotland ecosystem (Alexander et al., 2015; Serpetti et al.,
145 2017).

146

147 The west of Scotland ecosystem (WoS) located in ICES Division VIa is home to numerous
148 valuable species of finfish and shellfish that support four fisheries: an inshore crustacean
149 fishery targeting the valuable Norway lobster (*Nephrops norvegicus*); a mixed demersal fishery
150 targeting cod (*Gadus morhua*), haddock (*Melanogrammus aeglefinus*) and whiting
151 (*Merlangius merlangus*) on the continental shelf; a fishery for monkfish (*Lophius piscatorius*),
152 hake (*Merluccius merluccius*) and saithe (*Pollachius virens*) in the deeper waters of the shelf
153 edge; and a pelagic fishery targeting mainly mackerel (*Scomber scombrus*) and herring (*Clupea*
154 *harengus*) (ICES, 2016b, 2016c, 2016d, 2016e, 2016f, 2016g). In 2014, these fisheries
155 contributed to 35% of the total value of all commercial species caught in Scotland, totalling
156 £182.5 million (The Scottish Government, 2015) and are, therefore, important for the Scottish
157 fishing industry. However the WoS fisheries are currently facing several management issues.
158 First, the stocks of cod and whiting are depleted and their Total Allowable Catches (TACs)
159 have been set to zero since 2012 and 2006 respectively (ICES, 2016c). Secondly, the extensive
160 bycatch of juvenile gadoids by the crustacean fishery is thought to jeopardise gadoid stocks,
161 whiting in particular (ICES, 2016c). Thirdly, the population of grey seals (*Halichoerus grypus*),
162 a top predator in the WoS, has been increasing steadily over the last two decades (SCOS, 2015).
163 While Alexander et al. (2015) suggest that excessive exploitation rates rather than an increase
164 in predators were to blame for the collapse of cod and whiting, increased predation from seals
165 seems to have offset the reduction of fishing pressure on VIa cod (Cook et al., 2015) and is
166 likely to hamper the recovery from low stock sizes (Cook and Trijoulet, 2016). The complexity
167 of the WoS food web and the mixed fisheries it supports, coupled with management challenges
168 and the availability of an ecosystem model, makes the WoS an ideal case study to assess the
169 performance of EBFM in achieving specific management goals such as GES.

170

171 Here, we reviewed and updated the EwE model for WoS with the latest data available and
172 repeated the calibration procedure to extend the hindcasting period from 1985 to 2013. We
173 used this model to explore the F_{MSY} ranges of the demersal stocks by performing forward
174 simulations of every possible combination of fishing mortalities within these ranges.
175 Additional exploitation scenarios were performed to investigate the impact of juvenile whiting
176 bycatch by the crustacean fishery and grey seals predation. For each scenario, ecosystem
177 indicators related to GES descriptors 1, 3 and 4 were calculated. Outputs from the models were
178 analysed to assess whether the single stock F_{MSY} and/or F_{MSY} ranges implemented by the CFP
179 could achieve GES in WoS the demersal fishery. Management measures required to recover
180 the cod and whiting stocks were also identified.

181

182

183 **2. Material and methods**

184

185 ***2.1. The model***

186

187 The model was built using EwE software version 6.5 released in July 2016 (www.ecopath.org).
188 EwE consists of two components: (i) Ecopath, a mass-balance model accounting for energy
189 transfers in the ecosystem which depicts a ‘snapshot’ of the ecosystem in a given year; and (ii)
190 Ecosim, the dynamic component which allows for temporal simulations based on Ecopath.
191 Ecosim is based on the foraging arena theory (Ahrens et al., 2012), and each prey-predator
192 interaction is defined by a vulnerability parameter that describes whether the interaction is
193 bottom-up (vulnerability < 2), top-down (vulnerability > 2), or neither bottom-up nor top-down
194 (vulnerability = 2) controlled. Both Ecopath (Christensen and Pauly, 1992; Polovina, 1984;
195 Walters et al., 1997) and Ecosim (Christensen and Walters, 2004; Walters and Christensen,

196 2007) have been documented extensively, and further details can be found in the publications
197 above.

198

199 The EwE model for WoS used in this study was first built by Haggan and Pitcher (2005), then
200 updated by Bailey et al. (2011) and Alexander et al. (2015). It was recently updated and
201 extended by Serpetti et al. (2017) who introduced species-specific thermal preference functions
202 in order to drive the model with ocean temperature. The impact of temperature is beyond the
203 scope of this study (see Serpetti et al. (2017) for more details). Here, we built on the model
204 published by Alexander et al. (2015) by applying the same update as done by Serpetti et al.
205 (2017), minus the inclusion of temperature as a driver. The area modelled corresponds to the
206 continental shelf of ICES Division VIa within the 200 m depth contour and covers ~110,000
207 km² (Fig.1). The model comprises 41 functional groups (Table S1) spanning ~ five trophic
208 levels consisting of three marine mammals, seabirds (as a single group), 23 fish, five
209 invertebrate groups, one cephalopod group, two zooplankton, three benthos, two primary
210 producers, and one detritus group. The model has five fishing fleets: demersal trawl, *Nephrops*
211 trawl, other trawl, potting and diving, and pelagic trawl. The cod, haddock and whiting groups
212 are split between juvenile (age 0 and 1) and adult (age 2 and above). The model start year in
213 Ecopath is 1985 (see Bailey et al. (2011), Alexander et al. (2015) and Serpetti et al. (2017) for
214 more details about Ecopath parameters). Ecopath parameter values employed are given in
215 Tables S1-4.

216

217 ***2.2. Update***

218

219 The update of Ecopath consisted of two steps. Firstly, the 1985 biomass starting values of
220 groups for which data were available were updated using the latest stock assessments (Table

221 S1) while the total catch of each functional group was updated with the latest landings (Table
222 S2) and discards (Table S3) data (where available). In addition, the growth parameter (i.e. K
223 from the von Bertalanffy growth function) used to model the growth of the three multi-stanza
224 groups (cod, haddock and whiting) was updated by fitting a von Bertalanffy growth function
225 to age-length keys obtained from the ICES DATRAS database
226 (https://datras.ices.dk/Data_products/Download/Download_Data_public.aspx) for those three
227 groups. Secondly, the diet matrix used by Ecopath was updated. Adjusting the diet matrix is a
228 powerful and surprisingly underused way to improve EwE models (Ainsworth and Walters,
229 2015). To improve the model goodness of fit, the diet matrix was updated following these
230 consecutive steps: (i) the data and proxies used by Bailey et al. (2011) and Alexander et al.
231 (2015) to build the diet matrix were reviewed; (ii) the diet composition of each group was
232 checked individually against existing literature for unusual prey; (iii) when unusual
233 prey/predator links were found these were removed and/or amended based on (in the following
234 order): available literature; the DAPSTOM database (Pinnegar, 2014); the diet matrices of the
235 EwE models from two neighbouring and closely related ecosystems, North Sea (Mackinson
236 and Daskalov, 2007) and Irish Sea (Lees and Mackinson, 2007). The updated diet matrix
237 obtained through these three consecutive revisions is given in Table S4. To ensure a coherent
238 and ecologically sound mass-balance, the pre-balance (PREBAL) analysis depicted by Link
239 (2010) was applied to the updated Ecopath model.

240

241 To update Ecosim, the time series of biomass, catch, and fishing mortalities driving the model
242 were updated (from 1985 onwards) and extended (up to 2013) for as many groups as possible
243 using the latest data available. While catch time series were handled on an absolute scale in the
244 calibration process, biomass time series are handled on relative scale: having the correct
245 biomass trend is, therefore, more important than having the correct range of values. To this end

246 it was deemed preferable to estimate the biomass time series directly from scientific survey
247 data rather than from assessment model estimates, whenever possible. For demersal and
248 benthic groups, biomass time series were calculated using bottom trawl surveys data obtained
249 from the ICES DATRAS database following the method from Baudron and Fernandes (2015)
250 with the exception of cod, haddock and whiting for which stock assessment estimates (ICES,
251 2014a) were necessary to obtain separate biomass time series for both stanzas. For Norway
252 lobster, abundance estimates from underwater TV surveys (ICES, 2014a) were summed across
253 the three functional units within the model area (FU 11, 12 and 13) and used as biomass time
254 series. Since pelagic species are not effectively captured by bottom trawl surveys, whenever
255 possible other data sources were preferred to get reliable biomass trends. For herring, total
256 stock biomass estimates from acoustic surveys available for the subarea VIa north which
257 comprises the bulk of the VIa stock (ICES, 2014b) were used. For mackerel, horse mackerel
258 *Trachurus trachurus* and blue whiting *Micromesistius poutassou*, total stock biomass estimates
259 for the western shelf (ICES, 2014c) were scaled down to VIa using the average proportion of
260 landings realised in this area. For grey seals, estimates of pup production from Inner and Outer
261 Hebrides (SCOS, 2015) were summed and used as biomass trend. For harbour seals, pup count
262 values were only available every five years (SCOS, 2015) but were preferred to model
263 estimates as the biomass trend indicator. Abundances values of small (< 2 mm) and large (> 2
264 mm) zooplankton, and phytoplankton Colour Index (PCI) were obtained from the Sir Alister
265 Hardy Foundation for Ocean Science (SAHFOS). The PCI constitutes a semi-quantitative
266 representation of the total phytoplankton biomass (Batten and Walne, 2011).

267

268 Catch time series for both stanzas of cod, haddock and whiting were obtained from stock
269 assessment reports as these include discards and are corrected for misreporting. Contrary to
270 cod and whiting assessed in VIa, haddock is now assessed for both areas IV and VIa (ICES,

271 2014d). As a result, it was assumed that 9.5 % of northern shelf haddock catches are realised
272 in VIa as this is the threshold managers agreed upon when splitting the TAC between areas IV
273 and VIa (EC, 2015b). For all other groups, 1985-2013 time series of VIa landings were
274 obtained from STATLANT (STATLANT, [http://ices.dk/marine-data/dataset-](http://ices.dk/marine-data/dataset-collections/Pages/Fish-catch-and-stock-assessment.aspx)
275 [collections/Pages/Fish-catch-and-stock-assessment.aspx](http://ices.dk/marine-data/dataset-collections/Pages/Fish-catch-and-stock-assessment.aspx)) and 2003-2013 discard rates were
276 obtained from STECF (<https://stecf.jrc.ec.europa.eu/reports>) to estimate the 2003-2013 catch
277 time series. The catch time series for 1985-2002 were estimated by inversely applying 2003-
278 2013 average discard rates to 1985-2002 landings time series. In EwE, F corresponds to the
279 exploitation rate which is the catch to biomass ratio (C/B). To get F time series, biomass time
280 series were adjusted so that the 1985 starting values correspond to the 1985 biomass estimates
281 from Ecopath before calculating C/B to ensure sensible F values: since biomass values resulting
282 from standardised survey sampling are often much smaller than those estimated from stock
283 assessments, the initial value derived from Ecopath was used. Lastly, the “feeding time
284 adjustment rate” was set to 0.5 for mammal groups as suggested by Christensen *et al.* (2008)
285 and to 0.2 for juvenile stanzas which still feed on egg content in early life stages while the
286 default value of 0 was used for all other groups. The time series of biomass, catch, F, and forced
287 catches (i.e. catches used to drive the model for groups for which F could not be calculated due
288 to lack of either C or B) inputs used to fit Ecosim are given in Tables S5-8.

289

290 **2.3. Parameterisation**

291

292 For the model to be reliable enough for EBFM it is essential that Ecosim captures the food web
293 processes. This is shown by the ability to reproduce historical trends in biomass and catches
294 when historical fishing mortalities are applied. Ecosim includes a ‘fit to time series’ module
295 which identifies the prey-predator interactions most sensitive to changes in vulnerability

296 (Tomczak et al., 2012). The calibration then consists of adjusting these vulnerabilities until the
297 best ‘fit’ of the model outputs to historical time series is achieved. Goodness-of-fit is assessed
298 by the sum of squared differences between the predicted and observed values on a \log_{10} scale
299 (Christensen et al., 2008). The fitting procedure described in Alexander *et al.* (2015) was
300 applied and the following model scenarios were tested (see Mackinson et al. (2009) for more
301 details):

302

- 303 (i) Baseline: no fishing or environmental forcing and vulnerabilities set at 2
- 304 (ii) Baseline + trophic effects: same as (i) except vulnerabilities are adjusted to fit the
305 data
- 306 (iii) Baseline + environmental forcing: same as (i) except the ‘fit to time series’
307 identifies a time series of values (forcing function) that improves the fit by
308 impacting the predicted biomasses through primary production (subsequent
309 analyses can be performed to link the forcing function to existing environmental
310 drivers). This forcing function is a spline curve, and the maximum number of spline
311 points tested was limited to five so as to not over-parameterise the model (Tomczak
312 et al., 2012), as done by Alexander et al. (2015).
- 313 (iv) Baseline + trophic effects + environmental forcing: combination of (ii) and (iii)
- 314 (v) Fishing: fishing mortalities are included to drive the model, no environmental
315 forcing and vulnerabilities set at 2
- 316 (vi) Fishing + trophic effects: fishing mortalities are included to drive the model and
317 vulnerabilities are adjusted to fit the data
- 318 (vii) Fishing + environmental forcing: combination of (iii) and (v)
- 319 (viii) Fishing + trophic effects + environmental forcing: combination of (vi) and (vii)

320

321 The best candidate was selected with Akaike’s Information Criterion (AIC) which identifies
322 the best trade-off between goodness-of-fit and number of parameters (Mackinson et al., 2009).
323 Instead of manually selecting the number of vulnerabilities to adjust prior to running the ‘fit to
324 time series’ module (Alexander et al., 2015; Tomczak et al., 2012), an automated stepwise
325 fitting procedure (Scott et al., 2016) was used. This ‘stepwise fitting’ module has been included
326 in the latest release of the EwE software (version 6.5) and allows for testing every possible
327 combination of parameters by automatically running the ‘fit to time series’ with successive
328 increments of the number of vulnerabilities and/or spline points of the forcing function for each
329 candidate model (ii) to (viii). The stepwise fitting procedure tested 1,990 model interactions
330 based on 28 time-series of relative biomasses, 22 time-series of catches, 22 time-series of F
331 and 9 time-series of forced catches with a total of 1,355 observations (observed data points)
332 estimating a maximum number of 49 parameters (based only on independent time-series). The
333 fitting procedure searched for vulnerability parameters “by predator” for all iterations assuming
334 the same top-down or bottom up control of the predator on all its prey (Scott et al., 2016).

335

336 ***2.4. Management scenario simulations***

337

338 Once parameterised, the best candidate model was used to explore the possible management
339 scenarios for the WoS demersal fishery which adhere to the current CFP recommendations.
340 The six demersal species considered here for the demersal fishery are cod, haddock, whiting,
341 saithe, hake, monkfish. Saithe and hake are part of larger groups, pollock and large demersals
342 respectively, composed of more than one species (Table S9). According to Bailey et al. (2011),
343 the pollock group is largely dominated by the saithe (97%) and the large demersals group by
344 hake (ca. 60%, although given recent estimates from Baudron and Fernandes (2015), this
345 proportion is likely to be much higher). The groups pollock and large demersals were therefore

346 considered here as being representative of these two single species, and are hereafter referred
347 to as saithe and hake. Forward simulations were performed for a period of 20 years (i.e. 2014-
348 2033) for each scenario. Firstly, a status quo scenario ($F_{\text{status quo}}$) was performed by keeping F
349 equal to the last historical value (F_{2013}) for all species in the model (Table 1) and used as a
350 reference level. Secondly, a F_{MSY} scenario was performed by applying the single stock F_{MSY}
351 values from ICES (Table 1). Only cod and whiting have stocks with a corresponding F_{MSY}
352 defined for area VIa, in which the model area is located. For other species, the F_{MSY} defined
353 for stock areas which encompass area VIa were used as best available proxies (Table 1). Lastly,
354 the F_{MSY} ranges were explored for demersal species, whilst single stock F_{MSY} values were
355 applied to Norway lobster and pelagic species. Akin to single stock F_{MSY} values, the best
356 available proxies were used when needed (Table 1). The F_{MSY} ranges were explored by
357 simulating, for each species, the $F_{\text{MSY upper}}$ and $F_{\text{MSY lower}}$ boundaries and F values in between
358 these two boundaries with a 0.05 increment (Fig. 2a). In order to investigate management
359 strategies likely to recover cod and whiting, the $F_{\text{MSY lower}}$ boundaries simulated were lowered
360 to $F=0.05$, this value corresponding to the observed residual F experienced by species not
361 targeted by fisheries (e.g., juvenile cod, see Table S7). Since haddock is also located on the
362 shelf and likely to be caught together with these two species, the cod F_{MSY} range was also
363 applied to haddock (Fig. 2a). The F_{MSY} ranges simulated therefore differed slightly from the
364 ones given by ICES, but did however encompass them (Table 1). To investigate the impact of
365 reducing juvenile whiting bycatch by the crustacean fishery, the F_{MSY} range applied to adult
366 whiting was also applied to juvenile whiting in order to simulate a reduction from $F_{\text{status quo}}$ of
367 0.17 (Table S7) down to $F=0.05$ (Fig. 2a). To investigate the impact of a reduction in predation
368 by grey seals, 5% and 10% culls were simulated by applying F s of 0.05 and 0.10 to grey seals,
369 in addition of the current no cull ($F=0$) situation (Fig. 2a). Simulations were carried out for all
370 possible combinations of F s within the F_{MSY} ranges tested, resulting in 180,000 scenarios being

371 explored in addition to the $F_{\text{status quo}}$ and F_{MSY} scenarios. These simulations were performed
372 using the Multisim plugin from the EwE software (Steenbeek et al., 2016).

373

374 **2.5. GES indicators**

375

376 To assess whether the management scenarios tested achieve GES, and further identify which
377 scenario is most likely to achieve GES, the following ecosystem indicators (hereafter referred
378 to as GES indicators) were calculated using the model outputs for all scenarios.

379

380 *2.5.1. Biomass*

381

382 GES implies that all fish stocks are harvested sustainably and therefore within safe biological
383 limits: the spawning stock biomass (SSB, i.e. of adults) should be above biological reference
384 points. The stocks of cod and whiting which are currently depleted are the only two stocks with
385 the biological reference points biomass limit (B_{lim}) and precautionary biomass (B_{pa}) defined
386 for area VIa (cod: $B_{\text{lim}} = 14,000$ t, $B_{\text{pa}} = 22,000$ t; whiting: $B_{\text{lim}} = 31,900$ t, $B_{\text{pa}} = 44,600$ t) in
387 which the model area is located (ICES, 2016c). The biomass outputs from the model were
388 therefore used as indicators, in conjunction with the biological reference points, to assess
389 whether each scenario led to the cod and whiting stocks remaining depleted (biomass $< B_{\text{lim}}$),
390 being at risk ($B_{\text{lim}} < \text{biomass} < B_{\text{pa}}$), or recovering (biomass $> B_{\text{pa}}$). This indicator relates to the
391 GES descriptor 3: commercial species.

392

393 *2.5.2. Shannon's diversity index*

394

395 Shannon's diversity index (SI) is an indicator of biodiversity commonly used to assess the
396 impact of fishing on food webs (Gascuel et al., 2016). This indicator was calculated following
397 the formula from Shannon (1948):

398

$$399 \quad SI = \sum_G (P_G \cdot \log_2(P_G)) \quad (1)$$

400

401 where P_G is the proportion in weight of the functional group G in the biomass. This indicator
402 relates to the GES descriptor 1: biodiversity.

403

404 *2.5.3. Marine trophic index*

405

406 The marine trophic index (MTI) is an indicator of the trophic structure of the upper (trophic
407 level 3.25 and above) part of the food web which includes most commercial fish species and
408 therefore is expected to be impacted the most by fishing (Pauly and Watson, 2005). This
409 indicator was calculated as follows:

410

$$411 \quad MTI = \sum(TL_G \cdot W_G) / \sum W_G \quad (2)$$

412

413 where TL_G is the trophic level of the functional group G (for groups with a trophic level ≥ 3.25),
414 W_G is the weight of the functional group G in the biomass. This indicator relates to the GES
415 descriptor 4: food webs.

416

417 *2.5.4. Mean maximum length*

418

419 The mean maximum length (MML) is an indicator of the species composition of the food web
420 where fishing is expected to lead to a decline in the proportion of large species (Shin et al.,
421 2005). This indicator was calculated as follows:

422

$$423 \quad MML = \sum(W_G \cdot L_{\infty G}) / \sum W_G \quad (3)$$

424

425 where W_G is the weight of the functional group G present and $L_{\infty G}$ is the asymptotic length of
426 the functional group G obtained by averaging L_{∞} values obtained from Fishbase (Froese and
427 Pauly, 2017; www.fishbase.org) across species in each functional group (Table S9). This
428 indicator relates to the GES descriptor 4: food webs.

429

430 *2.5.5. Food web evenness index*

431

432 The Food Web Evenness index (FWE) is an indicator of biodiversity which, unlike Shannon's
433 diversity index, not only considers the overall diversity of species but also a balanced biomass
434 distribution across trophic levels and evenness of species within each trophic level. This
435 indicator is obtained by inverting either the Canberra or the Bray-Curtis dissimilarity index,
436 BC , calculated based on the dissimilarity of the expected and observed biomass of a functional
437 group G , as follows:

438

$$439 \quad BC = (\sum_G |B_{Ge} - B_{Go}|) / \sum_G (B_{Ge} + B_{Go}) \quad (4)$$

440

441 where B_{Ge} and B_{Go} are the expected and observed biomass of the functional group G within its
442 trophic level, respectively. The expected biomass is calculated by defining a reference state of
443 'food web evenness' in which group biomasses are decreasing with increasing trophic levels,

444 and all groups within a trophic level have equal biomasses (for more details please refer to
445 Appendix A). An advantage of FWE is that it is independent of the total biomass in the system.
446 Therefore FWE only tracks relative changes in species biomasses, i.e. in the compositional
447 diversity of the community. This indicator relates to the GES descriptor 1: biodiversity.

448

449 ***2.6. Identify the best GES scenario***

450

451 Apart from the biomass indicator for which thresholds (i.e. B_{lim} and B_{pa}) are defined for the
452 depleted stocks of cod and whiting, none of the four GES indicators used to assess descriptors
453 1 and 4 have clear thresholds defined above which GES is considered reached. Instead, for
454 these four indicators (H, MTI, MML, FWE) it was simply considered that the higher the value
455 the better, and that a scenario achieving high values across these four indicators is more likely
456 to achieve GES than a scenarios achieving lower values (Coll et al., 2016; Kleisner et al., 2015;
457 Reed et al., 2017). Therefore, in order to identify the scenario most likely to achieve GES
458 (hereafter referred to as best GES scenario) the following framework was applied:

459 (i) To achieve GES, a scenario should recover the depleted stocks of cod and whiting
460 within safe biological limits (i.e. above B_{pa})

461 (ii) The recovery of depleted stocks should be achieved as early as possible

462 (iii) Among scenario(s) that satisfy conditions (i) and (ii), the best GES scenario is the
463 one achieving the highest values overall across the four GES indicators H, MTI,
464 MML, and FWE. The best GES scenario was identified through the following three
465 steps:

466 a. firstly, the amplitude of the time series of all four GES indicators was
467 standardised by subtracting the mean and dividing by the standard deviation;

- 468 b. secondly, for each indicator, the difference between each scenario's value
469 reached in 2033 and the maximum across all scenarios was calculated;
- 470 c. thirdly, the best GES scenario is the one with the smallest sum of differences
471 across the four GES indicators.

472

473 ***2.7. Model uncertainty***

474

475 In order to investigate the impact of parameter uncertainty on the reliability of the model
476 outputs, Monte-Carlo simulations were performed to assess the sensitivity of Ecosim to
477 uncertainty in the following Ecopath inputs: biomass, production to biomass ratio,
478 consumption to biomass ratio, and ecotrophic efficiency (Heymans et al., 2016). The model
479 identified as the best GES scenario was run with the parameter value for each of these inputs
480 randomly selected from within 10% of the original value, as done by Serpetti et al. (2017). 100
481 runs were performed, and the confidence interval around the time series of biomass outputs
482 were determined by calculating the 5% and 95% quantiles.

483

484

485 **3. Results**

486

487 ***3.1. Hindcast***

488

489 Once the updated Ecopath model was successfully balanced, PREBAL (Link, 2010)
490 diagnostics were carried out and confirmed that: the biomass slope on a log scale declines by
491 ca. 5 – 10% with increasing trophic levels; predator/biomass ratios are <1; and vital rates
492 decline with increasing trophic levels (Appendix B). These diagnostics suggest that the Ecopath

493 model is ecologically sound (Link, 2010). The structure of the updated Ecopath food web is
494 depicted in Figure 3, and the final balanced model parameters can be found in Table S1.

495

496 The best fitted model with the lowest AIC was achieved when fishing, trophic effects and
497 environmental forcing were applied (Model 8, see Table 2). This model improved the fit by
498 62% compared to the baseline model. Adding fishing alone improved the fit by 25%, while the
499 combination of fishing and trophic effects reduced the sum of squares by 61%. Adding a
500 forcing function further reduced the sum of squares by 1%, resulting in the lowest AIC. The
501 environmental forcing function on primary producers identified by the fitting procedure is a
502 spline curve with three spline points. Correlations between this forcing function and
503 environmental indices North Atlantic Oscillation (NAO) and Atlantic Multidecadal Oscillation
504 (AMO), as well as the Sea Surface Temperature (SST) were explored with Pearson product
505 moment correlation tests. SST data was obtained from the Hadley Centre HadISST dataset
506 (<http://www.metoffice.gov.uk/hadobs/hadisst/>), while NAO and AMO data were obtained
507 from NOAA (<https://www.esrl.noaa.gov/psd/data/timeseries/>). While correlations with SST
508 and NAO were marginally (cor. = 0.107, p = 0.046) and not significant (cor. = -0.099, p =
509 0.066) respectively, AMO was the index most correlated with the forcing function with a highly
510 significant correlation (cor. = 0.583, p < 0.001, Fig. S1). As a result, a smoothed AMO index
511 obtained by fitting a Loess (local regression) smoother with a span of 0.5 (Fig. S1c) was
512 substituted with the three spline point curve in the model and used as the environmental forcing
513 function on producers.

514

515 The best model (model 8, see Table 2) performed fairly well in reproducing the historical
516 biomass trends of most functional groups over the hindcast period (1985-2013), particularly
517 for demersal species such as cod, whiting, saithe and monkfish (Fig. 4). Biomass trends were

518 also fairly well captured for *Nephrops* and pelagic species except in early years (1985-1990)
519 for mackerel and horse mackerel. The historical biomass trends of grey seals was not captured
520 as well, although the model did produce an increasing trend as observed from the historical
521 data. The confidence intervals calculated from the Monte-Carlo simulations were reasonably
522 narrow for a majority of groups, but did reveal large uncertainties around the estimates of cod,
523 haddock and whiting due to the top-down and bottom-up interactions between the adult and
524 juvenile stages of these multi-stanza groups as previously noted by Serpetti et al. (2017). The
525 model also reproduced the observed catch trends for most groups apart from monkfish over the
526 1990-2000 period (Fig. S2). Catches of hake, mackerel and *Nephrops* were slightly
527 overestimated, while blue whiting catches were slightly underestimated over the 1995-2000
528 period. The model showed mixed results regarding the ability to reproduce historical trends of
529 GES indicators (Fig. 5). Historical values for the two food web indicators, MML and MTI,
530 were well matched apart from a peak in the mid-2000s largely driven by the large increase in
531 hake biomass (Fig. 4). The two diversity indicators SI and FWE, however, were overestimated
532 by the model, especially SI. Nevertheless, the model outputs did reproduce the shape of the
533 historical trends to some extent, indicating that the GES indicators returned by the model can
534 be used to compare management scenarios to one another.

535

536 **3.2. Forecast**

537

538 No forward projections of the AMO index are available. However, this index has been
539 increasing over the model hindcast period (1985-2013), is known to follow a cyclical pattern,
540 and is now approaching a cooling phase (Kotenev et al., 2011). Thus, the mirror values of the
541 smoothed AMO index over 1985-2013 (Fig. S1c) were used as best available proxy and applied

542 as the environmental forcing function of primary producers over the simulation period (2014-
543 2033) when simulating the management scenarios, as done by Serpetti et al. (2017).

544

545 The $F_{\text{status quo}}$ scenario revealed little to no change for most species biomass (Fig. 4) and catch
546 (Fig. S2) levels compared to the last historical year: cod and whiting remained depleted, while
547 other species either remained on par with 2013 levels or quickly reached a plateau, except
548 herring and horse mackerel which kept declining over the simulation period. The F_{MSY} scenario
549 entailed an increase in F for all species expect cod, herring and horse mackerel (Table 1). This
550 led to a recovery of cod SSB above B_{pa} and an increase in horse mackerel biomass but did not
551 stop herring biomass from decreasing despite temporarily curbing the decline. Single stock
552 F_{MSY} values did not recover whiting SSB which remained well below B_{lim} . However, despite
553 experiencing a F three times greater, whiting achieved a higher SSB with F_{MSY} ($F=0.18$) than
554 with $F_{\text{status quo}}$ ($F=0.06$). Similar observations were made for haddock which experienced an
555 increase from $F_{\text{status quo}} = 0.17$ to $F_{\text{MSY}} = 0.19$. This is most likely due to a reduction in the
556 predation pressure from the piscivorous top predators saithe, monkfish and hake which all
557 experienced substantial biomass reductions under F_{MSY} . Grey seals also suffered from a
558 reduction in biomass despite experiencing no cull under F_{MSY} , likely due to a reduction in food
559 supply caused by the lower biomass overall across fish species, in particular the important
560 preys saithe and hake (Fig. S3). Catches realised under F_{MSY} were greater than under $F_{\text{status quo}}$
561 across all species except *Nephrops*, suggesting that F_{MSY} would lead to higher yield even for
562 species experiencing a reduction in F .

563

564 Out of the 180,000 scenarios tested to explore the F_{MSY} ranges, only 260 recovered both the
565 stocks of cod and whiting above B_{pa} by 2033 (Table S10). Out of these 260 scenarios, the
566 earliest date at which recovery above B_{pa} was achieved for both depleted stocks differed among

567 the levels of seal cull considered: 10 scenarios achieved recovery in 2027 with no seal cull, 20
568 scenarios achieved recovery in 2028 with a 5% seal cull, and 5 scenarios achieved recovery in
569 2029 with a 10% seal cull. These 35 scenarios are hereafter referred to as recovery scenarios.
570 Culling grey seals had no effect on how quickly the depleted stocks recovered above B_{lim} : cod
571 and whiting reached the threshold in 2021 and 2024 at the earliest, respectively, regardless of
572 the level of culling applied here. However, culling grey seals had an effect on how quickly the
573 depleted stocks recovered above B_{pa} . Cod reached the threshold in 2022 with a 10% cull, a year
574 earlier than with a 5% cull or no cull. In contrast, the recovery of whiting above B_{pa} appeared
575 slower with higher levels of culling, with the threshold reached in 2027 without cull while a
576 5% and 10% cull led to the threshold being reached in 2028 and 2029 respectively.

577

578 The fishing mortalities applied in the 35 recovery scenarios are displayed in grey in Figure 2b
579 and the corresponding biomass trajectories in Figure 4. The recovery of the cod and whiting
580 stocks was achieved with F values within the F_{MSY} ranges from ICES, with the exception of
581 whiting which required a much lower F (Fig. 2b). Although these 35 recovery scenarios did
582 achieve the recovery of both cod and whiting above B_{pa} , for both species the increase in
583 biomass plateaued around 2030 after which it started decreasing again, with the whiting SSB
584 dipping below B_{pa} by 2033 in all recovery scenarios (Fig. 4). Extending the simulation until
585 2100 as done by Serpetti et al. (2017) revealed that, while the cod SSB remained above B_{pa}
586 after the ecosystem reached equilibrium, the whiting SSB fluctuated around B_{pa} before
587 stabilising between B_{lim} and B_{pa} by 2060 (Fig. S4). This suggests that the scenarios identified
588 as achieving the fastest recovery of cod and whiting above B_{pa} may not maintain whiting within
589 sustainable limits in the long term. The large uncertainty around whiting biomass estimates
590 prevents any firm conclusions, with ca. half of the confidence interval being above B_{pa} (and ca.
591 two thirds above B_{lim}) by 2100. Out of the 35 recovery scenarios, the recovery of both cod and

592 whiting was only achieved when the highest F of the ranges explored was applied to cod
593 ($F=0.25$) and saithe ($F=0.42$), and the lowest possible F (0.05) applied to both adult and juvenile
594 whiting. In contrast, recovery was achieved with all possible F values of the range explored for
595 monkfish and grey seals which indicate that these two top predators did not hinder the cod and
596 whiting stocks recovery, although the predation from grey seals had a slight impact on the date
597 when B_{pa} was reached for these two stocks, as detailed above.

598

599 The 35 recovery scenarios all resulted in similar values of GES indicators across the simulation
600 period, with the exception of the FWE index which showed more variability across scenarios
601 (Fig. 5). As a result, the scenario identified as the best GES scenario was also the one returning
602 the highest FWE values. Both the best GES scenario and the F_{MSY} scenario produced similar
603 trajectories for all GES indicators over the simulation period, except for the FWE index
604 between 2014 and 2025. However, for all GES indicators the best GES scenario either slightly
605 outperformed the F_{MSY} scenario (e.g. SI), or caught up with it by 2033 (e.g. MML). Both the
606 best GES and F_{MSY} scenarios resulted in lower values than the $F_{status\ quo}$ scenario for the two
607 food web indicators, MML and MTI, although for MTI all three scenario ended up with similar
608 values in 2033. This is likely due to the high biomasses of saithe and hake observed under the
609 $F_{status\ quo}$ scenario, with the abundance of these two large top predator species resulting in high
610 MML and MTI values despite the low biomasses of other large top predators such as cod and
611 whiting. In contrast, the best GES and F_{MSY} scenarios both resulted in higher values than the
612 $F_{status\ quo}$ scenario for the two biodiversity indicators SI and FWE, indicating that these two
613 scenarios led to a more diverse and even species composition of the WoS ecosystem.

614

615 The best GES scenario identified via the GES indicators was achieved when the highest F of
616 the ranges explored for haddock ($F=0.25$) and monkfish ($F=0.41$) were applied, while an F

617 slightly above the middle of the range explored ($F=0.35$) was applied to hake (Fig. 2c). While
618 the non-culled biomass of grey seals did not prevent the recovery of cod and whiting, despite
619 slightly impacting the date when this recovery was achieved as explained above, the best GES
620 scenario was achieved when a 5% cull was applied to grey seals. This indicates that, while the
621 predation from grey seals does not prevent stock recovery, it does have an impact, however
622 small, on the food web structure and biodiversity of the WoS ecosystem. Apart from grey seals
623 which experience a 5% cull under the best GES scenario, the best GES and F_{MSY} scenarios
624 produced similar biomass trajectories which were actually closely aligned for most species with
625 one major exception, whiting, which did not recover under the F_{MSY} scenario (Fig. 4). Likewise,
626 apart from cod and haddock which experienced higher F values under the best GES scenario,
627 the catch trajectories produced by the best GES and F_{MSY} scenarios were also similar, even for
628 whiting which experienced a much lower F (0.05) under the best GES scenario the F_{MSY} (0.18)
629 scenario (Fig. S2).

630

631

632 **4. Discussion**

633

634 The results from the model simulations suggest that the single stock F_{MSY} values currently
635 advised by ICES, if applied to all stocks in WoS, would likely recover cod whilst achieving
636 catches on par with historical levels for most species. This management scenario would also
637 lead to an increase in whiting SSB, but would fail to recover this stock to within safe biological
638 limits, suggesting that the current F_{MSY} value for whiting in ICES area VIa is incompatible with
639 this stock's recovery. In contrast, the results from the simulations exploring the F ranges used
640 in this study suggest that it would be possible to recover both cod and whiting stocks by
641 applying F within these ranges. However, two crucial conditions were necessary for the

642 recovery of both these depleted stocks to happen. Firstly, the recovery of whiting required that
643 the lowest possible F ($F = 0.05$) of the ranges explored was applied to both juvenile and adult
644 whiting. Due to the depleted status of the VIa whiting stock, adult whiting is no longer actively
645 targeted in WoS and is currently experiencing an $F_{\text{status quo}}$ of ca. 0.06 due to bycatch. Juvenile
646 whiting, on the other hand, is caught as bycatch by the small meshed crustacean fishery
647 targeting the highly valuable *Nephrops* (the crustacean fishery account for 77% of the discards
648 of age 0 and age 1 (i.e., juvenile) groups), and is currently experiencing an $F_{\text{status quo}}$ of ca. 0.17
649 as a result (ICES, 2016c). Our results strongly suggest that a substantial reduction in the
650 bycatch of juvenile whiting by the crustacean fishery is essential to the recovery of the VIa
651 whiting stock. This contradicts the previous findings from Alexander et al. (2015) who
652 concluded that there is insufficient bycatch from the crustacean fishery to prevent the recovery
653 of whiting. While measures to prevent bycatch of juvenile whiting by the crustacean fishery
654 could potentially jeopardise one of the most profitable fisheries in WoS, they will soon become
655 a CFP requirement as the landings obligation is being phased in for demersal stocks (EC,
656 2015a), with whiting already identified to become a choke species for the crustacean fishery in
657 WoS (ICES, 2016c).

658

659 The second requirement for the recovery of cod and whiting we identified is that the
660 simultaneous recovery of cod and whiting was achieved only when the highest possible F from
661 the ranges explored were applied to cod ($F = 0.25$) and saithe ($F = 0.42$). Both cod and saithe
662 are piscivorous top predators (trophic level ca. 4) of the WoS ecosystem. Saithe, along with
663 mackerel, is one of the main predators of both juvenile cod (Fig. 6a) and juvenile whiting (Fig.
664 6b), and the increasing saithe biomass over the historical period has led to an increase in
665 predation pressure on these two juvenile stanzas. Scenarios with the highest F s on saithe
666 therefore resulted in a decrease in predation mortality on juvenile cod and whiting, thus

667 enabling these two species to recover. Likewise, cod is the main predator of whiting (Fig. 6c)
668 and the third most prevalent predator of juvenile cod after saithe and mackerel (Fig. 6a).
669 Applying the highest possible F on cod therefore limited the increase in predation mortality on
670 whiting, thus enabling the recovery of whiting, whilst also limiting cannibalism on juvenile
671 cod and facilitating the recovery of cod. These results suggest that reducing the biomass of
672 saithe, the main predator of juvenile cod and whiting, together with limiting the increase of
673 cod, the main predator of whiting, are necessary to recover both VIa cod and whiting stocks.
674 The fact that the recovery of cod and whiting, two piscivorous top predators, seems
675 unattainable without curbing the increase of another piscivorous top predator, saithe, indicates
676 that it may not be possible to simultaneously maximise the biomass of all demersal piscivorous
677 top predators of the WoS ecosystem (which also include hake and monkfish). Therefore, it may
678 be necessary to identify the optimum balance between these species to achieve sustainable
679 stocks statuses and a healthy food web.

680

681 The concept of ‘balanced fishing’ was first introduced by Garcia et al. (2012) and has gained
682 momentum in recent years as EBFM garnered more attention, although it remains a hotly
683 debated topic (ICES, 2014e). The intricacies and consequences of prey-predator interactions in
684 exploited ecosystems, and the importance of considering them in the management of mixed
685 fisheries are particularly relevant at a time when improved stewardship in the management of
686 European fisheries is leading to the recovery of most commercial stocks (Fernandes and Cook,
687 2013) resulting in the increase in the biomass of many top predator as they approach their MSY
688 status, with knock-on implications for prey-predator interactions (ICES, 2016h, 2014e). For
689 example, the recovery of the northern hake stock has led to a large increase in the biomass of
690 this top predator across most of northern Europe, including WoS (Baudron and Fernandes,
691 2015), with repercussions on prey-predator interactions such as the increased competition with

692 saithe for access to their common prey, as documented in the North Sea (Cormon et al., 2016).
693 Although a similar increase has yet to be reported for saithe, the biomass trend from survey
694 data presented here suggest that this species has been increasing continuously from 1985 to
695 2013 in WoS, whilst fish stock recoveries have been linked to a decline in fishing exploitation
696 and associated harvest rates in ICES area VI overall, and the neighbouring ICES area V for
697 saithe specifically (Jayasinghe et al., 2015). The possible application of ‘balanced fishing’ in
698 European fisheries and its consequences for ecosystems are currently being investigated by the
699 ICES Working Group on the Ecosystem Effects of Fishing Activities who concluded that, as
700 fish stock recoveries are expected to have significant trophic effects, ecosystem models such
701 as the one employed here could be used to predict the ecological consequences of stock
702 rebuilding (ICES, 2016h).

703

704 Implementing a cull of grey seals, the main predator of cod and one of the main predators of
705 gadoid fish species in WoS, had little impact overall on the recovery of cod and whiting. Both
706 species were able to recover when no cull was applied, an observation consistent with the
707 previous findings from Alexander et al. (2015) who concluded that the rise in grey seals
708 biomass had not led to the collapse of these species. This observation contradicts, however, the
709 findings from a recent modelling study which suggests that the sustained high mortality due to
710 increased predation from grey seals is preventing the recovery of the VIa cod stock (Cook et
711 al., 2015). Reducing the grey seals population by 5% every year had no impact of the recovery
712 of cod, however a 10% reduction led to cod recovering within safe biological limits a year
713 earlier. While the difference is small, this observation is consistent with another recent
714 modelling study showing that the VIa cod stock recovery under current levels of grey seals
715 predation is possible although it would remain precarious (Cook and Trijoulet, 2016). Our
716 results showed that a yearly 10% decrease in grey seals biomass led to a slightly earlier cod

717 recovery, suggesting that an increase in grey seals biomass would potentially delay the
718 recovery, a finding consistent with Serpetti et al. (2017) who identified grey seals as exerting
719 a top-down control on their prey. We also showed that a decrease in grey seals biomass could
720 be detrimental for the whiting recovery: the increase in cod biomass associated with a decrease
721 in grey seals biomass would increase predation mortality on whiting, thus delaying its recovery.
722 This potential impact has not yet been reported for whiting in WoS and highlights the need for
723 considering prey-predator interactions in the management of exploited ecosystems, as
724 previously mentioned. Lastly, the best GES scenario identified here included a 5% cull of grey
725 seals, further demonstrating the impact of the abundance of top predators on the food web
726 structure and diversity. However, the small differences observed between scenarios with and
727 without grey seals cull, coupled with the fact that the absence of cull did not prevent the
728 recovery of cod and whiting, do not provide enough support for culling grey seals as a
729 management measure.

730

731 The performance of the exploitation scenarios simulated here towards achieving GES was
732 assessed based on five indicators which only related to three out of the eleven GES descriptors:
733 biodiversity (two indicators), commercial species (one indicator) and food webs (two
734 indicators). GES was therefore not comprehensively assessed in this study as many descriptors
735 were omitted from the analyses since it was not possible to model them due to lack of data
736 (e.g., descriptor 10: Marine litter) or lack of processes included in the model (e.g., descriptor
737 5: Eutrophication). In addition, apart from the biomass indicator for which reference points are
738 defined for the two depleted stocks, the biodiversity and food web indicators employed here
739 have no clearly established thresholds to enable assessing whether GES is reached (i.e.,
740 indicator > threshold). This is further complicated by the fact that there is currently no stringent
741 framework that uses indicators in assessing GES criteria (Queirós et al., 2016). Lastly, one of

742 the two food web indicators employed, MTI, was calculated using fixed trophic levels per
743 species, a practice not as efficient as the use of variable trophic levels which better detects the
744 impact of fishing pressure (Reed et al., 2017). These drawbacks were mitigated through the use
745 of two indicators (i.e., diversity and food web) and the use of an ad-hoc approach to identify
746 the best scenario. Notwithstanding these caveats, the use of a food web ecosystem model
747 combined with biomass thresholds enabled the identification of the management measures
748 necessary to recover the depleted stocks of cod and whiting, thus addressing the most pressing
749 environmental issue in WoS fisheries. Whether or not these management measures would also
750 lead to GES for the WoS ecosystem is ambiguous. This is due to the caveats listed above, but
751 also to the fact that, although the two biodiversity indicators increased under the best
752 management scenario identified here compared to status quo, the two food web indicators
753 decreased. This suggests that it might not be possible to simultaneously maximise both the
754 biodiversity and the food web trophic structure (as measured by MML and MTI). With both
755 biodiversity and trophic structure potentially impacting the WoS ecosystem resilience to
756 fishing and other pressures, GES may only be achieved through appropriate trade-offs between
757 these two descriptors. Nonetheless, the approach employed here (i.e., using biodiversity and
758 food web indicators derived from food web ecosystem model simulations) has been
759 successfully used in previous studies investigating the performance of fishing management
760 scenarios towards the contrasting objectives of MSY and GES (Lynam and Mackinson, 2015;
761 Stähler et al., 2016). Here, the chosen indicators replicated historical trends, suggesting that
762 perhaps they could be used to explore future trends and compare candidate scenarios to one
763 another in order to inform management decisions. Such an approach is employed, for example,
764 when using surveillance indicators for which there is insufficient information to establish a
765 clear target (Shephard et al., 2015). Future work using greater model complexity could achieve
766 comprehensive assessments of GES. For instance, Alexander et al. (2016) have developed a

767 EwE model for WoS built on their previous work (Alexander et al., 2015) which includes a
768 spatial component. Such a model could allow, for example, mapping trawl fishing activities in
769 WoS and investigating descriptor 6 (Sea-floor integrity), thus improving on the GES
770 assessment presented here.

771

772 The Ecopath model presented here entailed an update of the mass balance model from
773 Alexander et al. (2015), as well as extensive changes to the diet matrix. This updated model
774 was recently employed by Serpetti et al. (2017) to assess the long-term impacts of rising sea
775 temperatures on WoS fisheries. In addition, the data time series used to update the Ecosim
776 hindcast period from 1985-2008 to 1985-2013 included biomass trends derived from survey
777 data for saithe and monkfish, where previously proxies derived from stock assessment model
778 estimates were used (Bailey et al., 2011). This improves the credibility of the model since using
779 raw data avoids the uncertainty and possible errors associated with estimates produced by
780 statistical models (Dickey-Collas et al., 2014), especially when these statistical models were
781 designed for different areas than the model area considered here. Another update was the
782 inclusion of biomass time series of zooplankton and phytoplankton used to fit the model. This
783 addition contributes to further improving the credibility of the model by constraining the model
784 calibration at multiple trophic levels, a practice shown to lead to a better and more credible
785 parameterisation especially when both fishing and environmental effects are considered
786 (Mackinson, 2014). Overall, the updated model showed an improvement of the fit, with the
787 hindcast better reproducing the historical biomass trends of most species compared to the
788 hindcast shown in Alexander et al. (2015) whilst being similar to the hindcast shown by Serpetti
789 et al. (2017). Most importantly, the updated model seems to behave more realistically when
790 performing forward simulations. When reducing F , the biomass estimates produced by the
791 updated model showed a gradual increase, as expected in complex ecosystems where trophic

792 interactions may buffer the impact of a decrease in F . In contrast, the results shown in
793 Alexander et al. (2015) showed a sudden increase in the annual biomass of cod and whiting of
794 several thousands of tonnes within a couple of years when a reduction in F was applied. Whilst
795 not disputing the magnitude of the biomass increase observed by Alexander et al. (2015), such
796 an increase within such a short time seems rather unrealistic. The time scale within which the
797 updated model recovers seems more realistic which is a necessary component when testing
798 fishing management strategies and their impact (Lynam and Mackinson, 2015) such as the date
799 when depleted stocks recover, as investigated here.

800

801 Ecosystem modelling is a valuable tool for the implementation of EBFM. The inclusion of
802 multiple species spanning several trophic levels and their trophic interactions is necessary to
803 investigate the impact of management strategies on environmental and conservation objectives
804 such as GES (Christensen and Walters, 2005). Yet, as these conservation objectives become a
805 requirement while the latest CFP reform steers European fisheries management away from the
806 traditional approach and towards EBFM, ecosystem modelling tools are still scarcely used in
807 tactical fisheries management which remains very much single stock orientated (Skern-
808 Mauritzen et al., 2015). EwE has benefited from a continuous development spanning over 30
809 years (Villasante et al., 2016) and has been successfully employed on numerous occasions to
810 investigate marine policy issues (Christensen and Walters, 2004; Coll  ter et al., 2015), with
811 recent examples including the investigation of the impact of fisheries management strategies
812 on GES (Lynam and Mackinson, 2015; St  bler et al., 2016), as implemented in this study.
813 However, the use of EwE as a fisheries management tool has been heavily criticised (Plag  nyi
814 and Butterworth, 2004), since major pitfalls in the application of EwE can produce misleading
815 predictions about the direction of change caused by management strategies simulated, let alone
816 their magnitude (Christensen and Walters, 2004). In addition, it has been shown that EwE

817 models can produce significantly different results from the same analyses depending on how
818 the model has been calibrated (Mackinson, 2014), indicating that such models should be
819 employed with care, particularly when investigating policy issues. The model employed here
820 has been improved four times since its development (Alexander et al., 2015; Bailey et al., 2011;
821 Haggan and Pitcher, 2005; Serpetti et al., 2017). While the model is able to reproduce historical
822 biomass and catch, suggesting that it successfully captures the dynamics of the WoS food web,
823 many assumptions were made during the parameterisation process. Therefore, the model
824 presented here cannot, in its present state, be employed to make tactical management decisions
825 (e.g., setting a Total Allowable Catch) due to the number of uncertainties (e.g., parameter
826 uncertainty) linked to the various processes it describes. Indeed, the sensitivity of the model to
827 parameter uncertainty led to large uncertainties being observed around the biomass estimates
828 of cod and whiting, the two species on which scenario selection was based. In addition,
829 extending the simulation beyond the period of interest until the ecosystem reached equilibrium
830 revealed that the scenarios identified as achieving the fastest recovery of cod and whiting may
831 not maintain whiting within sustainable limits in the long term although no firm conclusions
832 could be drawn owing to the aforementioned large uncertainties around biomass estimates.
833 However, the model could be used to evaluate trade-offs between species, fisheries, and human
834 uses' impacts which is central to the ecosystem approach (Kaplan and Marshall, 2016). We
835 suggest that it is useful in an EBFM context, possibly alongside the use of traditional tactical
836 models (e.g. stock assessment), to explore various 'what if' scenarios, as done here, to inform
837 managers on the likely future trends of biomass and ecosystem indicators.

838

839

840 **5. Conclusion**

841

842 Using a food web ecosystem model to simulate management scenarios accounted for prey-
843 predator interactions whilst investigating biodiversity and food web indicators related to GES
844 descriptors. Our results suggest that the single stock F_{MSY} values currently advised by ICES
845 would recover the VIa cod stock, providing that F_{MSY} is applied to all stocks in VIa, but would
846 fail to recover the VIa whiting stock. The exploration of alternative management scenarios led
847 to the identification of the exploitation levels required to recover both the cod and whiting
848 stocks, and revealed that two conditions are necessary for these recoveries to happen. Firstly,
849 a reduction in the F experienced for juvenile whiting was necessary to recover whiting,
850 indicating that a reduction in the bycatch of juvenile whiting by the crustacean fishery is needed
851 for the VIa whiting stock to recover. Secondly, the simultaneous recovery of cod and whiting
852 was achieved only when the highest possible F s were applied to both cod, the main predator of
853 whiting, and saithe, the main predator of juvenile cod and whiting, highlighting the need to
854 consider the impact of prey-predator interactions when managing fish stocks. The best GES
855 scenario identified here resulted in biomass trajectories similar to the ones achieved with the
856 single stock F_{MSY} scenario, with the exception of whiting which did not recover under this latter
857 scenario. Likewise, the GES indicators trajectories achieved by the best GES scenario were
858 broadly similar to the ones achieved by the single stock F_{MSY} scenario. Most importantly, the
859 recovery of the cod and whiting stocks were achieved with F values within the F_{MSY} ranges
860 identified by ICES for the six demersal stock considered here, with the exception of whiting.
861 This suggests that the current management measures enforced in European fisheries by the CFP
862 could achieve GES in the WoS ecosystem, provided that existing management issues such as
863 the bycatch of whiting by the crustacean fishery are resolved, and that prey-predator
864 interactions are accounted for, a component which will increasingly be taken into consideration
865 as European fisheries management is evolving towards EBFM.

866

867

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876

877

878 **7. References**

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1147 **8. Tables**

1148

1149 **Table 1.** Fishing mortalities for the main west of Scotland commercial species used in the
 1150 model simulations with corresponding references. $F_{\text{status quo}}$ corresponds to the last historical F
 1151 value observed (i.e. F_{2013}). F_{MSY} corresponds to the single stock F value from ICES supposed
 1152 to achieve MSY. For demersal species, the $F_{\text{MSY lower}}$ and $F_{\text{MSY upper}}$ values from ICES defining
 1153 the $F_{\text{MSY range}}$ are also given with their corresponding references (*for monkfish, since no F_{MSY}
 1154 range values are defined for the stock comprising ICES area VIa the $F_{\text{MSY range}}$ values for ICES
 1155 areas IIXc and IXa were used instead as best available proxy).

1156

Fishery	Species	$F_{\text{status quo}}$	F_{MSY}	Reference	$F_{\text{MSY lower}}$	$F_{\text{MSY upper}}$	Reference
Demersal	Cod	0.60	0.17	ICES, 2016c	0.11	0.25	ICES, 2016a
	Whiting	0.06	0.18	ICES, 2016c	0.15	0.18	ICES, 2016a
	Haddock	0.17	0.19	ICES, 2016d	0.18	0.19	ICES, 2016d
	Saithe	0.07	0.36	ICES, 2016d	0.20	0.42	ICES, 2015
	Hake	0.04	0.28	ICES, 2016g	0.18	0.45	ICES, 2016a
	Monkfish	0.14	0.31	ICES, 2016g	0.18*	0.41*	ICES, 2016a
Pelagic	Herring	0.21	0.16	ICES, 2016f			
	Mackerel	0.13	0.22	ICES, 2016e			
	Horse mackerel	0.30	0.09	ICES, 2016e			
	Blue whiting	0.11	0.30	ICES, 2016e			
Crustaceans	Nephrops	0.08	0.109	ICES, 2016c			

1157

1158

1159 **Table 2.** Comparison of the eight candidate models fitted with the stepwise fitting procedure showing the total number parameters estimated (equal
 1160 to the sum of the number of vulnerabilities and the number of spline points of the forcing function estimated), the model sum of squares (SS), the
 1161 percentage of reduction of SS compared to the baseline model, and the Akaike Information Criterion (AIC). The best fitted model is highlighted
 1162 in bold.

1163

Model	Description	Number of vulnerabilities	Number of spline points	Total number of parameters estimated	SS	AIC	Fitting: % improvement SS
1	Baseline	0	0	0	1620.04	242.07	-
2	Baseline + trophic effects	0	0	0	1620.04	242.07	0
3	Baseline + environmental forcing	0	5	5	1550.87	192.99	4
4	Baseline + trophic effects + environmental forcing	34	5	39	1177.68	-109.68	27
5	Fishing	0	0	0	1219.31	-142.97	25
6	Fishing + trophic effects	29	0	29	626.61	-985.70	61
7	Fishing + environmental forcing	0	5	5	1113.15	-256.37	31
8	Fishing + trophic effects + environmental forcing	24	3	27	614.30	-1016.76	62

1164

1165 **9. Figure legends**

1166

1167 **Figure 1.** Shelf area of the west of Scotland (blue) included in the model.

1168

1169 **Figure 2. a:** Fishing mortalities used to perform forward simulations, together with the F_{MSY}
1170 $_{range}$ from ICES and the F_{MSY}_{range} explored with the model. **b:** Fishing mortalities achieving the
1171 earliest recovery of cod and whiting above B_{pa} across all levels of seal cull (no cull, 5% cull
1172 and 10% cull) together with the F_{MSY}_{range} values from ICES. **c:** Fishing mortalities identified
1173 for the scenario achieving the best GES indicator values overall together with the F_{MSY}_{range}
1174 values from ICES.

1175

1176 **Figure 3.** Food web structure of the model. Nodes represent functional groups within the
1177 ecosystem; the size of the node is proportional to the biomass it represents. Biomass flows enter
1178 a node from the bottom and exit a node from the top and are scaled to flow proportion. The y-
1179 axis indicates the trophic level of the functional groups.

1180

1181 **Figure 4.** Biomass outputs from the model plotted with the observed biomass data time series
1182 used to fit the model (black dots). From 1985 to 2013, the black line shows the outputs from
1183 the model hindcast. From 2014 to 2033, outputs from the forward simulation are shown for the
1184 status quo scenario (in black), F_{MSY} scenario (in red), scenarios achieving the earliest recovery
1185 of cod and whiting above B_{pa} (in grey) across all levels of seal cull (no cull, 5% cull and 10%
1186 cull), and the scenario achieving the best GES indicator values overall (in green). Scenarios
1187 with the earliest cod and whiting recovery were achieved with only one F for some groups
1188 (e.g., whiting), but several possible F values for others (e.g., monkfish, see Fig. 2) resulting in
1189 several grey lines over the simulation period. The grey shaded area shows the confidence

1190 interval around the model hindcast from 1985 to 2013, and around the best GES scenario (in
1191 green) from 2014 to 2033.

1192

1193 **Figure 5.** GES indicators calculated from the model outputs plotted with the values calculated
1194 from observed data (black dots). From 1985-2013, the black line shows the GES indicators
1195 calculated from the model hindcast. From 2014 to 2033, GES indicators calculated from the
1196 forward simulations outputs are shown for the status quo scenario (in black), F_{MSY} scenario (in
1197 red), scenarios achieving the earliest recovery of cod and whiting above B_{pa} (in grey) across all
1198 levels of seal cull (no cull, 5% cull and 10% cull), and the scenario achieving the best GES
1199 indicator values overall (in green).

1200

1201 **Figure 6.** Predation mortality (year^{-1}) under the single stock F_{MSY} scenario experienced by
1202 juvenile cod (a), juvenile whiting (b) and whiting (c).

1203

1204 **Supplementary figure S1.** The three spline points forcing function (in grey) from the best
1205 model identified by the fitting procedure plotted together with the environmental indices **a:** Sea
1206 Surface Temperature (SST), **b:** North Atlantic Oscillation (NAO) and **c:** Atlantic Multidecadal
1207 Oscillation (AMO). On each panel, the index smoothed values and the obtained by fitting a
1208 Loess (local regression) smoothing curve with a span of 0.5 (thick black line) are shown
1209 alongside the raw values (thin black line) for easier visual comparison with the trend of the
1210 forcing function.

1211

1212 **Supplementary Figure S2.** Catch outputs from the model plotted with the observed biomass
1213 data time series used to fit the model (black dots). From 1985-2013, the black line shows the
1214 outputs from the model hindcast. From 2014 to 2033, outputs from the forward simulation are

1215 shown for the status quo scenario (in black), F_{MSY} scenario (in red), scenarios achieving the
1216 fastest recovery of cod and whiting above B_{pa} (in grey) across all levels of seal cull (no cull,
1217 5% cull and 10% cull), and the scenario achieving the best GES indicator values overall (in
1218 green). Scenarios with the earliest cod and whiting recovery were achieved with only one F for
1219 some groups (e.g., whiting), but several possible F values for others (e.g., monkfish) resulting
1220 in several grey lines over the simulation period.

1221

1222 **Supplementary Figure S3.** Comparison of the temporal changes in the diet composition (in
1223 % of prey consumed) of grey seals between the status quo scenario (top panel) and the F_{MSY}
1224 scenario (bottom panel).

1225

1226 **Supplementary Figure S4.** Biomass outputs from model simulations extended to 2100 to
1227 allow for the ecosystem to reach equilibrium. The observed biomass data time series used to
1228 fit the model are shown with black dots. From 1985 to 2013, the black line shows the outputs
1229 from the model hindcast. From 2014 to 2100, outputs from the forward simulation are shown
1230 for the status quo scenario (in black), F_{MSY} scenario (in red), scenarios achieving the earliest
1231 recovery of cod and whiting above B_{pa} (in grey) across all levels of seal cull (no cull, 5% cull
1232 and 10% cull), and the scenario achieving the best GES indicator values overall (in green).
1233 Scenarios with the earliest cod and whiting recovery were achieved with only one F for some
1234 groups (e.g., whiting), but several possible F values for others (e.g., monkfish) resulting in
1235 several grey lines over the simulation period. The grey shaded area shows the confidence
1236 interval around the model hindcast from 1985 to 2013, and around the best GES scenario (in
1237 green) from 2014 to 2100.

1238