

Bi-directional impulse chaos control in crystal growth

Cite as: Chaos 31, 053106 (2021); <https://doi.org/10.1063/5.0048096>

Submitted: 19 February 2021 . Accepted: 13 April 2021 . Published Online: 03 May 2021

Zi-Xuan Zhou,  Hai-Peng Ren, and  Celso Grebogi

COLLECTIONS

Paper published as part of the special topic on [In Memory of Vadim S. Anishchenko: Statistical Physics and Nonlinear Dynamics of Complex Systems](#)



[View Online](#)



[Export Citation](#)



[CrossMark](#)



Bi-directional impulse chaos control in crystal growth

Cite as: Chaos 31, 053106 (2021); doi: 10.1063/5.0048096

Submitted: 19 February 2021 · Accepted: 13 April 2021 ·

Published Online: 3 May 2021



View Online



Export Citation



CrossMark

Zi-Xuan Zhou,^{1,2} Hai-Peng Ren,^{1,a)}  and Celso Grebogi^{1,3} 

AFFILIATIONS

¹Shaanxi Key Laboratory of Complex System Control and Intelligent Information Processing, Xi'an University of Technology, Xi'an, Shaanxi 710048, China

²School of Computer Science and Engineering, North Minzu University, Yinchuan, Ningxia 750021, China

³Institute for Complex System and Mathematical Biology, King's College, University of Aberdeen, Aberdeen AB24 3UE, United Kingdom

Note: This paper is part of the Focus Issue, In Memory of Vadim S. Anishchenko: Statistical Physics and Nonlinear Dynamics of Complex Systems.

^{a)}**Author to whom correspondence should be addressed:** renhaipeng@xaut.edu.cn

ABSTRACT

Mono-silicon crystals, free of defects, are essential for the integrated circuit industry. Chaotic swing in the flexible shaft rotating-lifting (FSRL) system of the mono-silicon crystal puller causes harm to the quality of the crystal and must be suppressed in the crystal growth procedure. From the control system viewpoint, the constraints of the FSRL system can be summarized as not having measurable state variables for state feedback control, and only one parameter is available to be manipulated, namely, the rotation speed. From the application side, an additional constraint is that the control should affect the crystallization physical growth process as little as possible. These constraints make the chaos suppression in the FSRL system a challenging task. In this work, the analytical periodic solution of the swing in the FSRL system is derived using perturbation analysis. A bi-directional impulse control method is then proposed for suppressing chaos. This control method does not alter the average rotation speed. It is thus optimum regarding the crystallization process as compared with the single direction impulse control. The effectiveness and the robustness of the proposed chaos control method to parameter uncertainties are validated by the simulations.

Published under an exclusive license by AIP Publishing. <https://doi.org/10.1063/5.0048096>

Mono-silicon crystal is the basic material of integrated circuits and solar panels, which is grown by using a mono-silicon crystal puller in a 1420 °C airtight vacuum environment. During the crystal growth procedure, the flexible shaft rotating-lifting (FSRL) system keeps both the crystal rotating smoothly and the gradual crystal pulling, according to the crystallization physical requirements. In such a way, the atoms in the silicon melt within the crucible are able to crystallize in a predefined array that ensures crystal quality. However, an irregular and chaotic swing of the FSRL system, caused by external perturbation, is present. That must be suppressed since it does harm the crystal quality. The dynamics and the presence of chaos in the FSRL system were first investigated in Ref. 1. The irregular swing has been identified for some time, traditionally considered as being part of an imperfect manufacturing. However, improvements in manufacturing could not solve the problem. Furthermore, with the increasing demand in the diameter of the crystal, the height of the puller

and the length of the shaft have become larger, making the situation even worse. To solve the problem, engineers replaced the soft shaft with a hard shaft, so that the swing could be eliminated. Although this solution is somewhat effective, it comes at a high cost, making it often a too-expensive solution for such a competitive industry. For this reason and after the dynamics being understood as a chaotic vibration problem,¹ there is the possibility of solving the problem by chaos (vibration) control methods. There exist much theoretical research about chaos control. However, when facing a practical plant, most of them are excluded due to operation constraints. The constraints in the FSRL system can be summarized as (i) no state feedback is available; (ii) only the rotation speed parameter is accessible for manipulation; and (iii) the control method must have no or little effect on the crystallization process. Facing these constraints, the state feedback based methods are outrightly excluded. Therefore, for a functioning plant, the control of chaos is not a trivial task under such restrictive

constraints. The periodic single impulse control is a candidate for the FSRL system.² However, there exists a significant weak point in that control method. It leads the average rotation speed, which is the controlled parameter, to deviate from the physical requirements for the crystallization. To address this shortcoming, a bi-directional impulse control is being proposed in this work. The proposed method keeps the merits of impulse control, i.e., the application of small perturbations to the manipulating parameter while keeping the average rotation speed constant. The controlling parameter perturbations are determined according to a bifurcation analysis. The select range is verified by numerical simulations. The vibration response is analyzed using a singular perturbation method. The robustness of the FSRL system due to parameter uncertainties is also validated by simulations.

I. INTRODUCTION

Mono-silicon crystal puller is a manufacturing large apparatus in which polycrystalline silicon blocks are melted in a crucible using a graphite heater. From a mono-silicon seed, a mono-silicon crystal is grown in an inert gas vacuum environment. The large mono-silicon crystals play an important role in the semiconductor integrated circuits and solar panels industry. An undesirable irregular swing has been an impediment during the seeding stage in the FSRL system.¹ It is found that the irregular swing, associated with chaos, disrupts the atoms to crystallize in a predefined and perfect array, causing defective mono-silicon crystal production. Therefore, the chaotic swing must be suppressed. Due to the lack of understanding about the intrinsic chaotic dynamics of the FSRL system in the past, the field engineers attributed the irregular swing phenomenon to the imperfections in manufacturing, trying to suppress it by fine mechanical adjustments. However, these methods could not eliminate the swing phenomenon, intrinsic to the nonlinear dynamics of the FSRL system as systematically analyzed in Ref. 1. Thus, chaos control methods have been recognized as a solution to suppress the irregular swing.

The crystal seed having 10 mm in diameter is grasped and fixed at the end of a flexible shaft, as shown in Fig. 1. The other end of the flexible shaft is fixed in a rotating disk. During the process of the mono-silicon crystal production, the rotating disk, driven by a motor, rotates at a speed determined by the crystallization physical requirements. In ideal conditions, the crystal seed rotates in the melted silicon in a period one mode and is lifted slowly so that the crystal is grown to a larger diameter with a predefined atom array. However, the small apparatus eccentricity brings about a small external perturbation to the FSRL system, leading to the observed chaotic phenomenon. In order to suppress chaos in the manufacturing plant, the following practical constraints have to be considered: (i) no state variable can be measured for controller design; (ii) the only accessible parameter is the rotation speed; and (iii) the controlling perturbation must be as small as possible as required by the physics of the crystal growth. Bearing all the above constraints in mind, we consider the chaos control methods reported since the seminal work by Ott *et al.*³ Because there is no measurable system state of the FSRL system, all state feedback based methods are excluded, such as linear feedback,^{4,5} delay

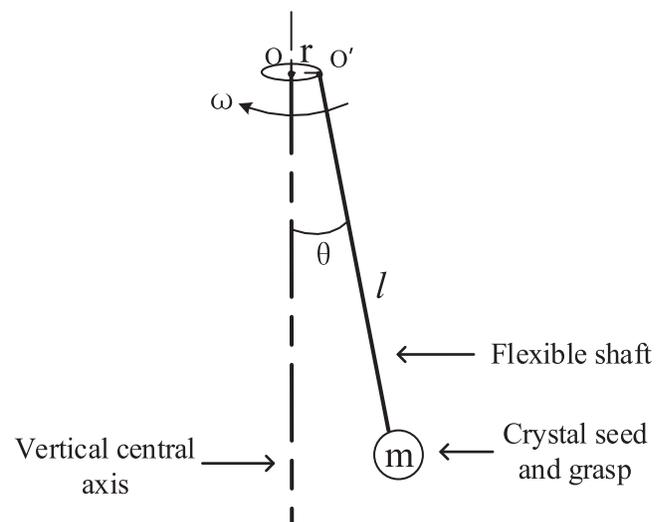


FIG. 1. The simplified structure diagram of the FSRL system.

feedback,⁶ adaptive control,^{7,8} active control,⁹ robust control,¹⁰ and fuzzy control.¹¹ Anishchenko's work on chaos synchronization, as a kind of chaos control method, yielded fruitful research results,¹²⁻¹⁴ but it cannot be used to suppress chaos in the FSRL system. The random phase perturbation method was proposed to control chaos in the Duffing oscillator.¹⁵ Although the FSRL system has a similar dynamic equation as that of the oscillator in Ref. 15, there is no way to perturb the eccentric phase of the FSRL system owing to the physical constraints mentioned before. Fortunately, periodic impulses can stabilize chaos by perturbing a system parameter at every small interval, having less influence on the steady state of the controlled system.

In general, the impulse control method is based on the theory of impulsive differential equations. Researchers studied the asymptotic stability of the impulse control system by using the Lyapunov method¹⁶⁻¹⁸ and the comparison theorem.¹⁹ Among the published impulse control methods, some control strategies require adjusting all state variables,²⁰⁻²² and others achieve the goal by manipulating only one state variable,^{23,24} but only Ref. 25 dealt with the perturbation of a parameter, as required in our work. In addition, depending on the different requirements for the impulse action of the control system, the impulse could be applied at a fixed interval,^{26,27} or at a state-dependent one.^{28,29} An impulse control method was proposed for suppressing chaos in the Duffing oscillator in Ref. 30. However, the method in Ref. 30 placed the impulse on the oscillation force, which is unattainable for a physical system like FSRL in which the physical constraints have to be obeyed. For a practical system in which the state variables cannot be measured and the manipulated variable is firmly restricted, such as the FSRL system, the parameter impulse control is an appropriate control method. Hence, a single directional parameter impulse control was proposed to perturb the rotation speed in Ref. 2. However, there is a drawback to that method. The single direction impulse alters the average

rotation speed (although the change is small). In practice, this rotation speed is determined by the delicate crystal growth physical requirements, which cannot be altered. To overcome this drawback, a bi-directional impulse control method is being proposed in this work. The key characteristic of this method is that the average rotation speed is kept the same as the unperturbed one, representing an essential feature for the manufacturing industry.

The organization of this paper is as follows. In Sec. II, the dynamics of the FSRL system is revisited, and the vibration response of the system is obtained by using a singular perturbation method. In Sec. III, a bi-directional impulse control method is proposed to suppress chaotic motion in the FSRL system and the control parameters selection rule is given. In Sec. IV, the simulation results are given to show the effectiveness of the proposed method. The robustness of the proposed method to parameter uncertainties is also analyzed. In Sec. V, conclusions are given.

II. NONLINEAR DYNAMICS AND VIBRATION RESPONSE ANALYSIS OF THE FSRL SYSTEM

A simplified schematic diagram of the FSRL system is given in Fig. 1. The system is governed by the following equation:

$$\ddot{\theta} = \frac{r}{l}\omega^2 \cos(\omega t) + \omega^2 \sin \theta \cos \theta - \frac{g}{l} \sin \theta - \frac{\xi}{m}\dot{\theta}, \quad (1)$$

where θ is the angle between the rotational axis and the shaft, ω is the rotation speed, l is the length of the flexible shaft from the fixed point O' to the crystal seed, m is the mass of the crystal seed and the grasp, ξ is the damping coefficient, and g is the gravitational acceleration. A motor drives the rotating disk with a belt pulley. The eccentricity effect causes the periodic perturbation of the fixed point O , r being the distance from the eccentric fixed point O' to the shaft central point O . The working principle and modeling of the FSRL system have been investigated in Ref. 1.

The perturbation analysis of the system is conducted using the multiple scales method. Because the coefficients $\frac{r}{l}$ and $\frac{\xi}{m}$ in the dynamical equation are very small, they can be represented in terms of a small parameter ε . Expanding $\sin \theta$ in a Taylor series and retaining the first two terms, Eq. (1) can be rewritten as follows:

$$\ddot{\vartheta} + \omega_n^2 \vartheta + \left(\frac{1}{2}\omega^2 - \frac{1}{6}\omega_n^2\right)\varepsilon \vartheta^3 + \varepsilon \gamma \dot{\vartheta} = \varepsilon f \omega^2 \cos(\omega t), \quad (2)$$

where $\omega_0^2 = \frac{g}{l}$, $\sqrt{\varepsilon^3}f = \frac{r}{l}$, $\varepsilon \gamma = \frac{\xi}{m}$, $\omega_n^2 = \omega_0^2 - \omega^2$, and $\theta = \sqrt{\varepsilon}\vartheta$.

The general solution of Eq. (2) is assumed to be of the form, $\vartheta = \vartheta_0 + \varepsilon \vartheta_1 + \dots$. A series of independent variables are introduced: $T_0 = \tau$, $T_1 = \varepsilon \tau, \dots, T_n = \varepsilon^n \tau$, which are the time scales, so that ϑ is the function $\vartheta(T_0, T_1, \dots)$. The time derivatives are given by the chain rule

$$\frac{d}{d\tau} = D_0 + \varepsilon D_1 + \varepsilon^2 D_2 + \dots \quad (3)$$

and

$$\frac{d^2}{d\tau^2} = D_0^2 + 2\varepsilon D_0 D_1 + \varepsilon^2 (2D_0 D_2 + D_1^2) + \dots, \quad (4)$$

where $D_n^m = \partial^m / \partial T_n^m$. In the following, the general solution and its time derivatives are substituted into Eq. (2). By grouping together

the terms with the same powers of ε , the set of equations up to order ε are as follows:

$$\varepsilon^0 : D_0^2 \vartheta_0 + \omega_n^2 \vartheta_0 = 0 \quad (5)$$

and

$$\varepsilon^1 : D_0^2 \vartheta_1 + \omega_n^2 \vartheta_1 = -2D_0 D_1 \vartheta_0 - \gamma D_0 \vartheta_0 - \left(\frac{1}{2}\omega^2 - \frac{1}{6}\omega_n^2\right) \vartheta_0^3 + f\omega^2 \cos(\omega t). \quad (6)$$

The solution of Eq. (5) is the following:

$$\begin{aligned} \vartheta_0 &= \alpha \cos(\omega_n T_0 + \beta) \\ &= B e^{i\omega_n T_0} + \bar{B} e^{-i\omega_n T_0}, \end{aligned} \quad (7)$$

where $B = \frac{1}{2}\alpha e^{i\beta}$, $\bar{B} = \frac{1}{2}\alpha e^{-i\beta}$, and \bar{B} is the complex conjugate of B . For the first approximation solution, it can be assumed that $\alpha = \alpha(T_1)$, $\beta = \beta(T_1)$, $B = B(T_1)$, and $\bar{B} = \bar{B}(T_1)$.

Substituting Eq. (7) into Eq. (6), we have

$$\begin{aligned} D_0^2 \vartheta_1 + \omega^2 \vartheta_1 &= \left(\frac{1}{6}\omega_n^2 - \frac{1}{2}\omega^2\right)(B e^{i\omega_n T_0} + \bar{B} e^{-i\omega_n T_0})^3 \\ &\quad - 2D_1(i\omega_n B e^{i\omega_n T_0} - i\omega_n \bar{B} e^{-i\omega_n T_0}) \\ &\quad - \gamma(i\omega_n B e^{i\omega_n T_0} - i\omega_n \bar{B} e^{-i\omega_n T_0}) \\ &\quad + f\omega^2 \cos(\omega t). \end{aligned} \quad (8)$$

By introducing a detuning parameter σ , which is expressed as $\omega = \omega_n + \varepsilon\sigma$, the following equation is obtained for the time scale T_1 ,

$$\cos(\omega t) = \cos(\omega_n T_0 + \sigma T_1). \quad (9)$$

Using Euler's formula, Eq. (9) can be written in complex conjugate form, and Eq. (8) becomes

$$\begin{aligned} D_0^2 \vartheta_1 + \omega^2 \vartheta_1 &= \left(\frac{1}{6}\omega_n^2 - \frac{1}{2}\omega^2\right) B^3 e^{3i\omega_n T_0} \\ &\quad + \left(\frac{1}{6}\omega_n^2 - \frac{1}{2}\omega^2\right) \bar{B}^3 e^{-3i\omega_n T_0} \\ &\quad + \left(\frac{1}{2}\omega_n^2 - 2\omega^2\right) B^2 \bar{B} e^{i\omega_n T_0} \\ &\quad + \left(\frac{1}{2}\omega_n^2 - 2\omega^2\right) B \bar{B}^2 e^{-i\omega_n T_0} \\ &\quad - 2i\omega_n D_1 B e^{i\omega_n T_0} + 2i\omega_n D_1 \bar{B} e^{-i\omega_n T_0} \\ &\quad - i\gamma \omega_n B e^{i\omega_n T_0} + i\gamma \omega_n \bar{B} e^{-i\omega_n T_0} \\ &\quad + \frac{f\omega^2}{2} e^{i\omega_n T_0 + i\sigma T_1} + \frac{f\omega^2}{2} e^{-i\omega_n T_0 - i\sigma T_1}. \end{aligned} \quad (10)$$

Because the complex conjugate terms with $e^{i\omega_n T_0}$ and $e^{-i\omega_n T_0}$ are secular terms, they must be eliminated by setting the coefficients

to zero. Thereby, the following condition is obtained:

$$\left(\frac{1}{2}\omega_n^2 - \frac{3}{2}\omega^2\right) B^2 \bar{B} - i\gamma\omega_n B - 2i\omega_n D_1 B + \frac{f\omega^2}{2} e^{i\sigma T_1} = 0. \quad (11)$$

Substituting $B = \frac{1}{2}\alpha e^{i\beta}$, $\bar{B} = \frac{1}{2}\alpha e^{-i\beta}$, and $e^{i\sigma T_1} = \cos(\sigma T_1 - \beta) + i \sin(\sigma T_1 - \beta)$ into Eq. (11), we have

$$\begin{aligned} &\left(\frac{1}{2}\omega_n^2 - \frac{3}{2}\omega^2\right) \times \frac{1}{8}\alpha^3 - \frac{1}{2}i\omega_n\gamma\alpha - i\omega_n D_1 \alpha + \omega_n D_1 \beta \\ &+ \frac{f\omega^2}{2} \cos(\sigma T_1 - \beta) + i \frac{f\omega^2}{2} \sin(\sigma T_1 - \beta) = 0. \end{aligned} \quad (12)$$

By introducing an auxiliary variable $\varphi = \beta - \sigma T_1$, and splitting Eq. (12) into the real and imaginary parts, we obtain the expressions for $D_1\alpha$ and $D_1\varphi$ as given by

$$D_1\alpha = -\frac{1}{2}\gamma\alpha - \frac{f\omega^2}{2\omega_n} \sin\varphi \quad (13)$$

and

$$D_1\varphi = -\sigma - \left(\frac{1}{16}\omega_n^2 - \frac{3}{16}\omega^2\right) \times \frac{\alpha^3}{\omega_n} - \frac{f\omega^2}{2\omega_n} \cos\varphi. \quad (14)$$

When α and φ are in the steady state, $D_1\alpha = 0$ and $D_1\varphi = 0$. Since $\sin^2\varphi + \cos^2\varphi = 1$, the amplitude–frequency response equation is obtained as

$$\left(\frac{1}{2}\gamma\alpha\right)^2 + \left(\sigma + \left(\frac{1}{16}\omega_n^2 - \frac{3}{16}\omega^2\right) \times \frac{\alpha^3}{\omega_n}\right)^2 = \left(\frac{f\omega^2}{2\omega_n}\right)^2. \quad (15)$$

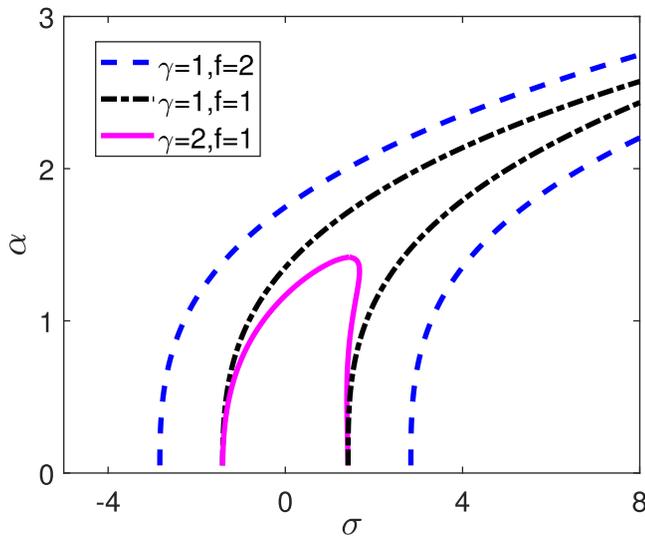


FIG. 2. The amplitude–frequency response of the FSRL system for different parameter sets. α represents the amplitude response of the system and σ represents the frequency near the main parametric resonance. The blue dashed line corresponds to the parameters $\gamma = 1$ and $f = 2$; the black dashed-dotted line corresponds to the parameters $\gamma = 1$ and $f = 1$; and the magenta solid line corresponds to the parameters $\gamma = 2$ and $f = 1$.

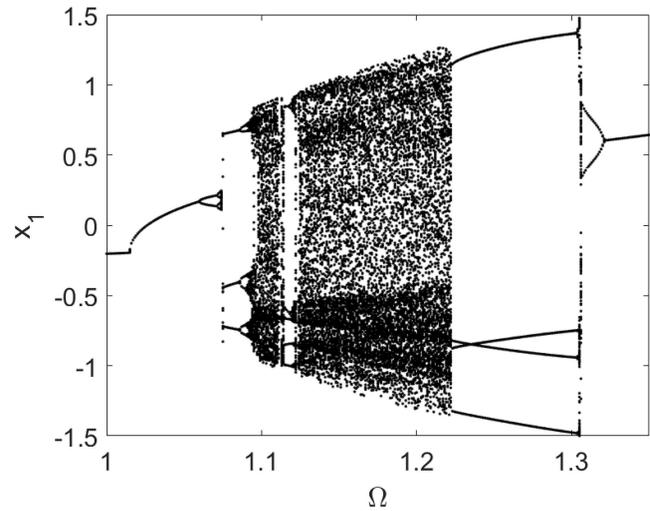


FIG. 3. The bifurcation diagrams of system (16) for $A = 0.2$ and $c = 0.1$.

The amplitude–frequency response curves for different parameters are shown in Fig. 2. The system amplitude response increases with the increase of the excitation frequency f , while, with the increase of the damping coefficient, the system amplitude response decreases gradually.

Importantly, the curves of the amplitude–frequency response reveal the nonlinear features of the FSRL system, such as the inclination and jump phenomena. The green and black curves bending over to the right indicate that the same frequency corresponds to two amplitude values, meaning system instability. The magenta

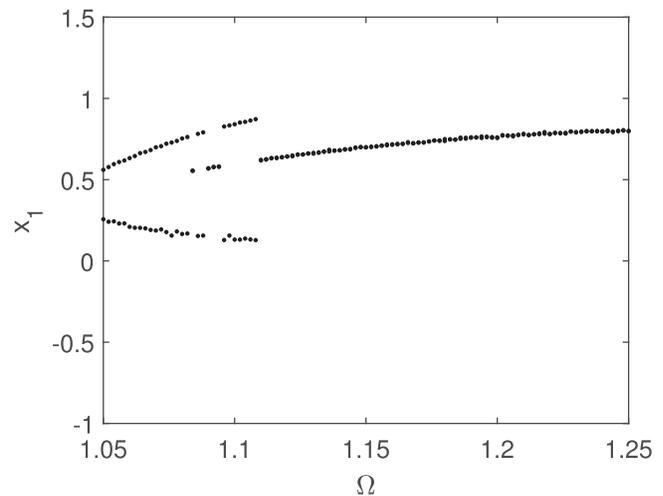


FIG. 4. The bifurcation diagrams of x_1 vs Ω for the controlled system (17) with parameter pair $(\kappa, \Delta) = (0.8, 0.342)$.

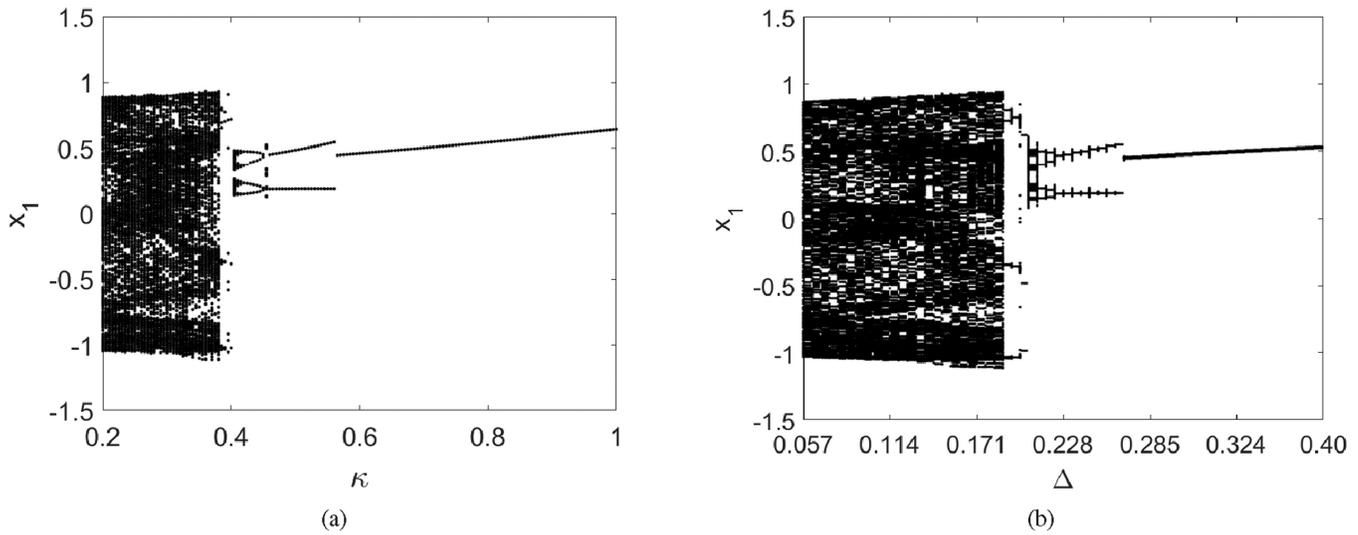


FIG. 5. (a) The bifurcation diagram of the controlling impulse parameters κ with $A = 0.2$, $\Omega = 1.1$, $c = 0.1$, and $\Delta = 0.342$. (b) The bifurcation diagram of the system with $A = 0.2$, $\Omega = 1.1$, $c = 0.1$, and $\kappa = 0.6$ for varying control parameter Δ .

curve represents the case where the system damping is sufficiently large. The amplitude response of the system is greatly reduced, and the inclination and jump phenomena disappear. However, in the industrial plant, the system damping, though small, cannot be manipulated.

As studied in Ref. 1, the FSRL system exhibits various dynamical behaviors, including period doubling bifurcation, symmetry-breaking bifurcation, interior crisis, and chaotic motion.

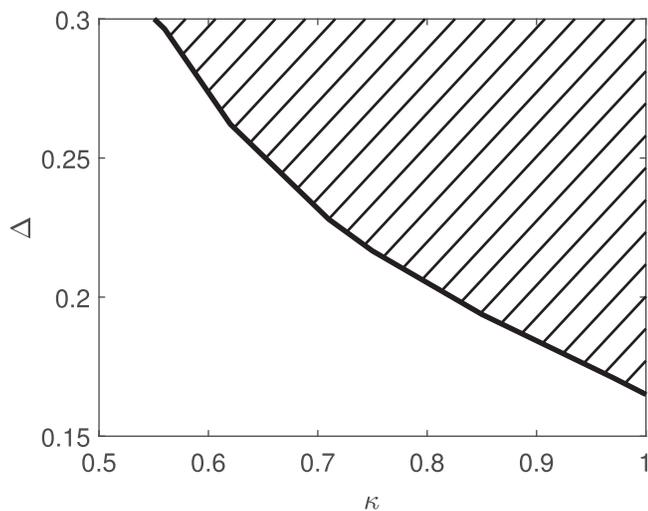


FIG. 6. The pairs of (Δ, κ) in the shaded area can be used to suppress the chaotic motion.

To see that, we rewrite system (1) into a dimensionless form

$$\begin{aligned} \dot{x}_1 &= x_2, \\ \dot{x}_2 &= A\Omega^2 \cos(\Omega t) + \Omega^2 \sin x_1 \cos x_1 - \sin x_1 - cx_2, \end{aligned} \tag{16}$$

where $x_1 = \theta$, $x_2 = \dot{\theta}$, $A = \frac{\tau}{I}$, and $c = \frac{\xi}{m}$. A and Ω are the amplitude and frequency of the excitation, respectively.

Figure 3 shows the bifurcation diagram of system (16) for $A = 0.2$ and $c = 0.1$, and chaos occurs when $\Omega \in (1.08, 1.23)$. It demonstrates the nonlinear dynamics of the system. For additional dynamical analysis, we refer to Ref. 1.

III. A BI-DIRECTIONAL IMPULSE CONTROL METHOD OF THE FSRL SYSTEM

In this section, a bi-directional impulse control method is proposed for suppressing chaos in the FSRL system. The main goal of the approach is to eliminate chaotic motion while maintaining the average rotation speed to be constant. Because the rotation speed is selected as the control input, we define positive impulse when the speed increases and negative impulse as it decreases. The dimensionless equation of the proposed bi-directional controlled system is as follows:

$$\begin{aligned} \dot{x}_1 &= x_2, \\ \dot{x}_2 &= A(P(t))^2 \cos((P(t)t) + (P(t))^2 \sin x_1 \cos x_1 - \sin x_1 - cx_2, \end{aligned} \tag{17}$$

where $P(t) = \Omega + u(t)$ is determined by the rotation speed. $u(t)$ is defined as the impulse function with period $T = 2\pi/\Omega$, which is expressed as

$$u(t) = \sum_{n=0}^{\infty} h(t - nT), \tag{18}$$

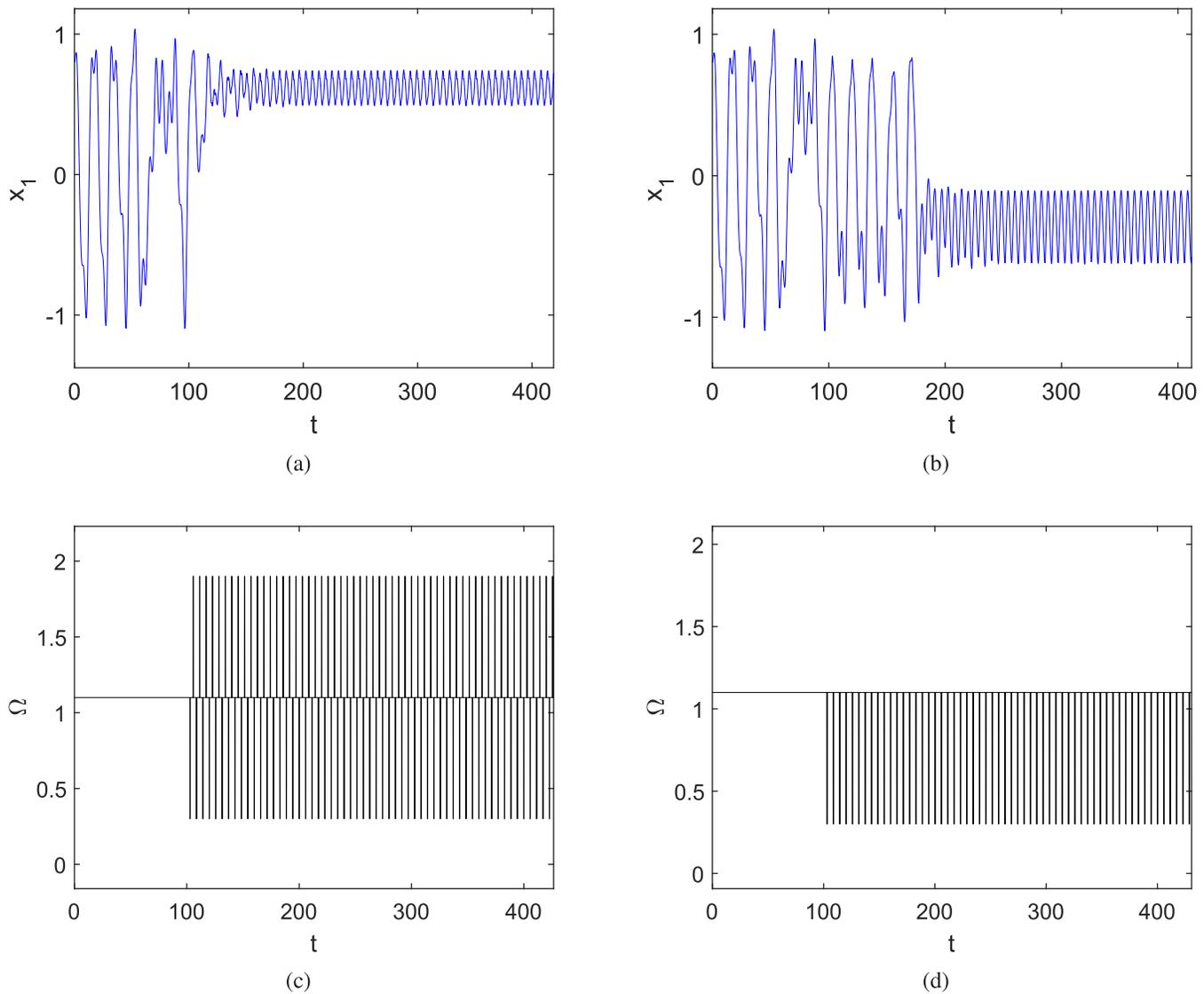


FIG. 7. (a) The state variable x_1 as a function of t for $\kappa = 0.8$ and $\Delta = 0.257$. The bi-directional impulse control is applied at $t = 100$. (b) The simulation result of single impulse control by choosing the control parameters pair $(\kappa, \Delta) = (-0.8, 0.51)$. The state variable x_1 of the controlled system in single impulse control is plotted as t varies and the single impulse control is applied at $t = 100$. (c) The rotation speed of the bi-directional impulse control. (d) The rotation speed of the single impulse control.

with

$$h(t) = \begin{cases} -\kappa, & 0 < t < \Delta, \\ \kappa, & T/2 < t < T/2 + \Delta, \\ 0, & \text{otherwise,} \end{cases} \quad (19)$$

where Δ is the impulse duration and κ is the impulse amplitude. From Eqs. (18) and (19), we require that both impulses are applied to the rotation speed sequentially. The positive κ means that the speed is increasing and the negative κ means that the speed is decreasing. The bi-directional impulse control alternatively

increases and decreases the speed within duration Δ at each half period of the rotation.

Figure 4 shows the bifurcation diagram of the controlled system (17) using bi-directional impulse control, in which the asymptotic state variable x_1 is plotted against the rotation speed Ω . It represents the periodic motion after the bi-directional impulse control is applied, where the control parameters are $(\kappa, \Delta) = (0.8, 0.342)$. The impulse duration Δ is 6% of the period, $2\pi/\Omega$. Comparing with Fig. 3, it can be seen from Fig. 4 that the chaotic motion is converted into a periodic motion by the proposed bi-directional impulse control.

The bifurcation diagrams of the controlling impulse parameters are shown in Figs. 5(a) and 5(b) for κ and Δ , respectively. Figure 5(a) shows that the state x_1 of the controlled system (17) changes from chaotic motion to period one motion for $\kappa \in (0.58, 1)$ when Δ is fixed at 0.285. In Fig. 5(b), κ is fixed at 0.6, the state x_1 is periodic for $\Delta \in (0.057, 0.4)$. Therefore, the bi-directional impulse control with the appropriate parameter pair (κ, Δ) does eliminate the chaotic motion in the controlled system.

In the mono-silicon growth process, a stable rotation between the seed and the melted silicon is essential to achieving stable crystal/melt surface shape. Considering the special requirements for the crystal growth technology, we determine the parameter boundary for the controlled system to operate in a stable period one motion, as shown in Fig. 6. With our control strategy, the system continues to operate in the period one motion. In Fig. 6, chaos is suppressed by using the bi-directional impulse control with parameter pairs (κ, Δ) in the shaded area, where the system parameters $\Omega = 1.1$, $A = 0.2$, and $c = 0.1$. For other system parameters, the control parameter boundary can also be determined similarly.

IV. SIMULATION RESULTS

A. The effectiveness of the bi-directional impulse control method

In the following, we verify the effectiveness of the proposed method by using simulations. The parameters selection, according to the rule given above, ensures that the chaotic behavior of the system is suppressed and the system is stabilized at period one.

The bi-directional impulse control results are shown in Fig. 7(a) for the parameter pair $(\kappa, \Delta) = (0.8, 0.257)$ and the system parameters $\Omega = 1.1$, $A = 0.2$, and $c = 0.1$. Figure 7(a) depicts the waveform of the state x_1 of the controlled system (17), where the

control impulses are activated at $t = 100$. It shows the state x_1 transition from chaotic motion to period one after $t = 100$.

We compare the single direction impulse control with the bi-directional impulse control. The equation of the controlled system for the single impulse control method is defined in Ref. 2. The method applies one negative impulse per period. Figure 7(b) shows the simulation result of single impulse control for choosing the control parameters pair $(\kappa, \Delta) = (-0.8, 0.51)$ and the system parameters $\Omega = 1.1, A = 0.2, c = 0.1$. The impulse amplitude of single impulse control is the same as that of bidirectional impulse control, while the impulse duration of these two control methods is also the same. Comparing with Fig. 7(a), it can be seen that the amplitude of the controlled system in bi-directional impulse control is smaller than that of the single direction impulse control system in the steady state, which entails a correct crystal growth. The changes of rotation speed after the different impulse control applied are shown in Figs. 7(c) and 7(d). Figure 7(c) represents the situation where the system rotation speed is controlled by bi-directional impulse, corresponding to Fig. 7(a). The average rotation speed of the system does not change, being kept at $\Omega = 1.1$, because the bi-directional impulse control alternatively increases and decreases the speed during the same amount of time. Figure 7(d) depicts the rotation speed after applying the single impulse control, corresponding to Fig. 7(b); in this case, the average rotation speed of the system is reduced, below $\Omega = 1.1$.

B. The robustness of the method to the small parameter uncertainty

The proposed method is insensitive to the small model parameter uncertainty in the FSRL system, which is also validated by the corresponding simulations. The reason is as follows. The control

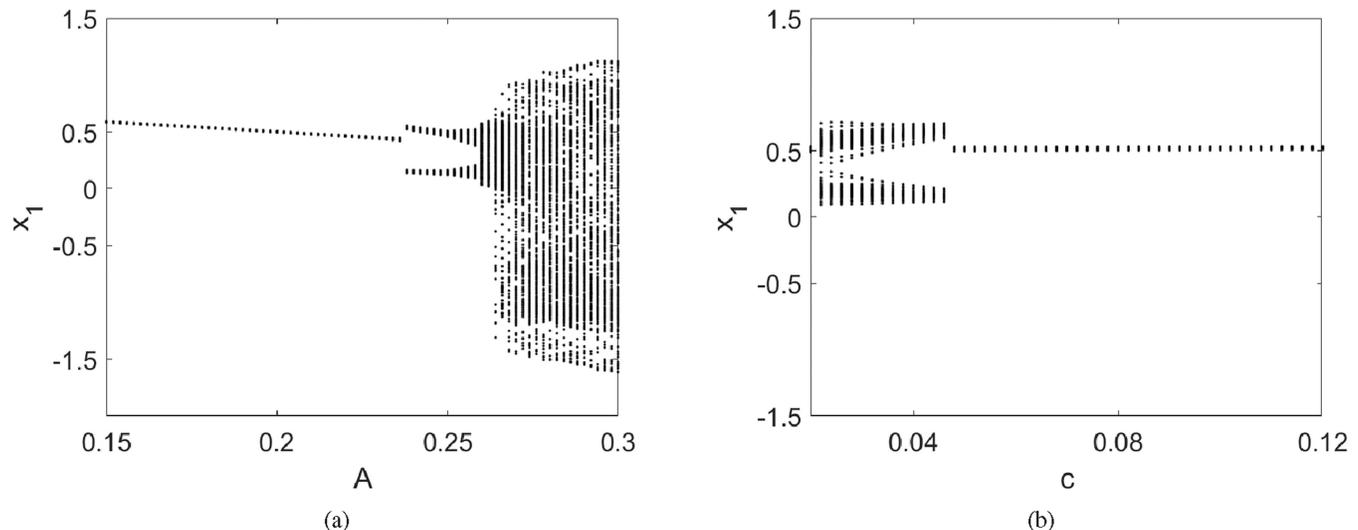


FIG. 8. (a) The bifurcation diagram of the system with $\Omega = 1.1$, $c = 0.1$, and $\kappa = 0.8$, $\Delta = 0.257$ by varying A , and the period one is achieved at $A \in (0.15, 0.24)$. (b) The bifurcation diagram of the system with $\Omega = 1.1$, $A = 0.2$, and $\kappa = 0.8$, $\Delta = 0.257$ by varying c , and the period one is achieved at $c \in (0.05, 0.12)$.

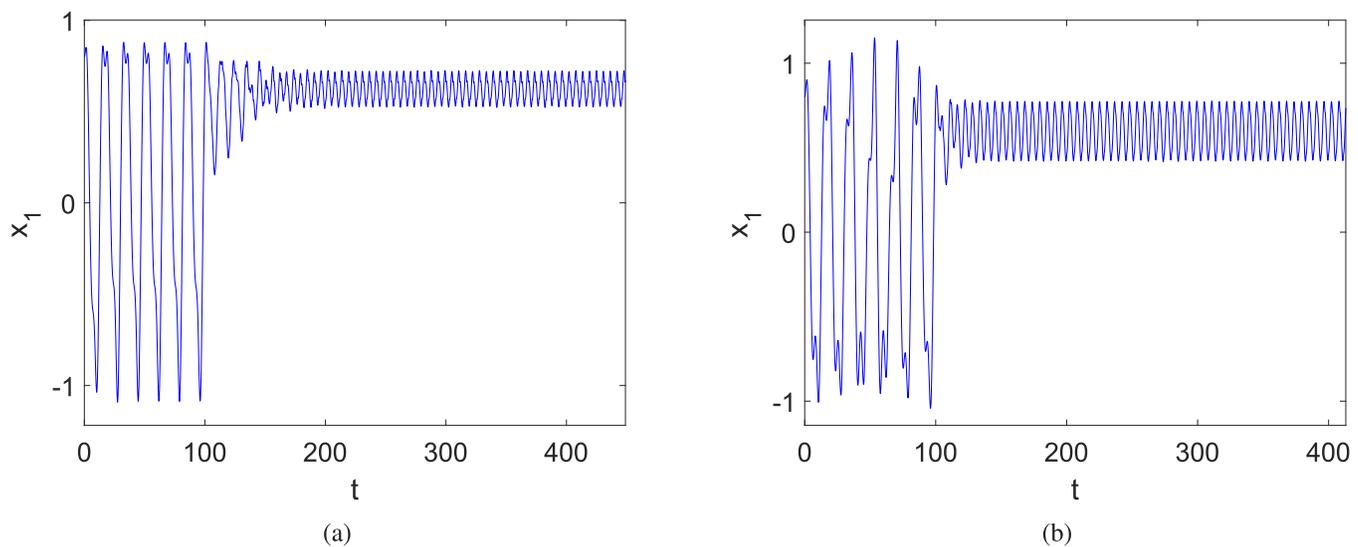


FIG. 9. (a) The state variable x_1 is plotted for $\kappa = 0.8$ and $\Delta = 0.257$, where $\Omega = 1.1$, $A = 0.19$, and $c = 0.08$. The bi-directional impulse control is applied at $t = 100$. (b) The state variable x_1 is plotted for $\kappa = 0.8$ and $\Delta = 0.257$, where $\Omega = 1.1$, $A = 0.24$, and $c = 0.12$. The bi-directional impulse control is applied at $t = 100$.

parameters are designed according to the bifurcation diagram calculated by the nominal system parameters including A and c . These control parameters are robust to small system uncertainties, as made evident by the bifurcation diagram when the parameters are far from the bifurcation points. This fact can be observed from the bifurcation diagrams in Fig. 8. For fixed κ and Δ , the period one can be achieved even though there exist small parameters mismatch between the actual system and the controller design. The method is sensitive to the excitation frequency, though, it is fortunate that the excitation frequency is determined by the rotation speed. If the rotation speed is not very accurate, the frequency determined on the same apparatus base is inaccurate accordingly. Therefore, this inaccuracy will not destroy the effectiveness of the proposed method.

Figures 9(a) and 9(b) show the control results with small parameter uncertainty, including A and c . We choose the control parameters pair $(\kappa, \Delta) = (0.8, 0.257)$ from Fig. 6 and keep the excitation frequency $\Omega = 1.1$ unchanged. Figures 9(a) and 9(b) show that the state variable x_1 is stabilized in a period one motion after the control is applied, where the model parameters are $(A, c) = (0.19, 0.08)$ and $(A, c) = (0.24, 0.12)$, respectively. They are all different from the ones used for the controller design in Fig. 6 (i.e., $A = 0.2$ and $c = 0.1$). From Fig. 9, it can be seen that the uncertainty of the system parameters does not affect the method for suppressing chaos, once the parameters selected are far from the bifurcation points.

V. CONCLUSIONS

This work investigates the vibration response of the FSRL system by the perturbation method of multiple scales. A bi-directional impulse control method is proposed to suppress chaos in FSRL system. The advantages of this method are that it ensures the average

rotation speed of the system remains constant and the amplitude of the resulting period one operation is smaller with respect to the single direction impulse control. Numerical simulations show that the proposed method is an effective control technique for the chaos suppression in the FSRL system, and it is insensitive to a small uncertainty in the system parameters, including A and c . This point is also an important aspect of our proposed bi-directional control for practical application.

DATA AVAILABILITY

The data that support the findings of this study are available within the article.

REFERENCES

- ¹H. P. Ren, Z. X. Zhou, and C. Grebogi, "Nonlinear dynamics in the flexible shaft rotating-lifting system of silicon crystal puller using Czocharlski method," *Nonlinear Dyn.* **102**, 771–784 (2020).
- ²Z. X. Zhou, C. Grebogi, and H. P. Ren, "Parameter impulse control of chaos in crystal growth process," *J. Cryst. Growth* **563**, 126079 (2021).
- ³E. Ott, C. Grebogi, and J. A. Yorke, "Controlling chaos," *Phys. Rev. Lett.* **64**, 1196–1199 (1990).
- ⁴G. R. Chen and X. N. Dong, "On feedback control of chaotic nonlinear dynamic systems," *Int. J. Bifurcation Chaos* **02**, 407–411 (1992).
- ⁵M. T. Yassen, "Controlling chaos and synchronization for new chaotic system using linear feedback control," *Chaos, Solitons Fract.* **26**, 913–920 (2005).
- ⁶K. Pyragas, "Continuous control of chaos by self-controlling feedback," *Phys. Lett. A* **170**, 421–428 (1992).
- ⁷S. Vaidyanathan and C. Volos, "Analysis and adaptive control of a novel 3D conservative no-equilibrium chaotic system," *Arch. Control Sci.* **25**, 333–353 (2015).
- ⁸S. H. Luo, S. L. Wu, and R. Z. Gao, "Chaos control of the brushless direct current motor using adaptive dynamic surface control based on neural network with the minimum weights," *Chaos* **25**, 073102 (2015).

- ⁹G. T. He and M. K. Luo, "Dynamic behavior of fractional order Duffing chaotic system and its synchronization via singly active control," *Appl. Math. Mech.* **33**, 567–582 (2012).
- ¹⁰J. Hu, Y. Qiu, and H. Lu, "Adaptive robust nonlinear feedback control of chaos in PMSM system with modeling uncertainty," *Appl. Math. Model.* **40**, 8265–8275 (2016).
- ¹¹I. Pan, A. Korre, S. Das, and S. Durucan, "Chaos suppression in a fractional order financial system using intelligent regrouping PSO based fractional fuzzy control policy in the presence of fractional Gaussian noise," *Nonlinear Dynam.* **70**, 2445–2461 (2012).
- ¹²V. S. Anishchenko, A. N. Silchenko, and I. A. Khovanov, "Synchronization of switching processes in coupled Lorenz systems," *Phys. Rev. E* **57**, 316–322 (1998).
- ¹³V. S. Anishchenko and Y. I. Boev, "The mean Poincaré return time locking: A criterion of chaos induced synchronization," *Tech. Phys. Lett.* **40**, 306–308 (2014).
- ¹⁴S. V. Astakhov, A. Dvorak, and V. S. Anishchenko, "Influence of chaotic synchronization on mixing in the phase space of interacting systems," *Chaos* **23**, 013103 (2013).
- ¹⁵L. Li, "Suppressing chaos of Duffing–Holmes system using random phase," *Math. Probl. Eng.* **2011**, 264–265 (2011).
- ¹⁶Z. Luo and J. Shen, "Stability of impulsive functional differential equations via the Lyapunov functional," *Appl. Math. Lett.* **22**, 163–169 (2009).
- ¹⁷H. Li and A. Liu, "Asymptotic stability analysis via indefinite Lyapunov functions and design of nonlinear impulsive control systems," *Nonlinear Anal. Hybr.* **38**, 100936 (2020).
- ¹⁸J. L. Mancilla-Aguilar and H. Haimovich, "Uniform input-to-state stability for switched and time-varying impulsive systems," *IEEE Trans. Automat. Control* **65**, 5028–5042 (2020).
- ¹⁹T. Yang, L. B. Yang, and C. M. Yang, "Impulsive control of Lorenz system," *Physica D* **110**, 18–24 (1997).
- ²⁰L. Zhang, H. Jiang, and Q. Bi, "Reliable impulsive lag synchronization for a class of nonlinear discrete chaotic systems," *Nonlinear Dynam.* **59**, 529–534 (2010).
- ²¹T. Ma, T. Li, and B. Cui, "Coordination of fractional-order nonlinear multi-agent systems via distributed impulsive control," *Int. J. Syst. Sci.* **49**(1), 1–14 (2018).
- ²²N. Liu, J. Fang, W. Deng, Z. Wu, and G. Ding, "Synchronization for a class of fractional-order linear complex networks via impulsive control," *Int. J. Control Automat.* **16**, 2839–2844 (2018).
- ²³K. Tian, C. Bai, H. P. Ren, and C. Grebogi, "Hyperchaos synchronization using univariate impulse control," *Phys. Rev. E* **100**, 052215 (2019).
- ²⁴K. Tian, H. P. Ren, and C. Bai, "Synchronization stability and circuit experiment of hyperchaos with time delay using impulse control," *IEEE Access* **8**, 72570–72576 (2020).
- ²⁵A. Jimenez-Triana, K. S. Tang, G. Chen, and A. Gauthier, "Chaos control in duffing system using impulsive parametric perturbations," *IEEE T. Circuits II* **57**(4), 305–309 (2010).
- ²⁶J. Marão, X. Z. Liu, and A. Figueiredo, "Using impulses to control the convergence toward invariant surfaces of continuous dynamical systems," *Chaos, Solitons Fract.* **45**, 1067–1079 (2012).
- ²⁷H. J. Li and Q. X. Ma, "Finite-time Lyapunov functions and impulsive control design," *Complexity* **2020**, 1–9 (2020).
- ²⁸X. Li and J. Wu, "Stability of nonlinear differential systems with state-dependent delayed impulses," *Automatica* **64**, 63–69 (2016).
- ²⁹L. Xu and D. Xu, "Mean square exponential stability of impulsive control stochastic systems with time-varying delay," *Phys. Lett. A* **373**, 328–333 (2009).
- ³⁰G. Osipov, L. Glatz, and H. Troger, "Suppressing chaos in the duffing oscillator by impulsive actions," *Chaos, Solitons Fract.* **9**, 307–321 (1998).